## TOWARDS A CLINICAL METHODOLOGY FOR NEUROPSYCHOPHARMACOLOGICAL RESEARCH

## Development of Neuropsychopharmacology 1950s

PHARMACOTHERAPY (1952 - 1957)

**PSYCHOPHARMACOLOGY** 

**NEUROTRANSMITTERS (1952-1960)** 

**SPECTROPHOTOFLUORIMETER (1955)** 

**NEUROPHARMACOLOGY** 

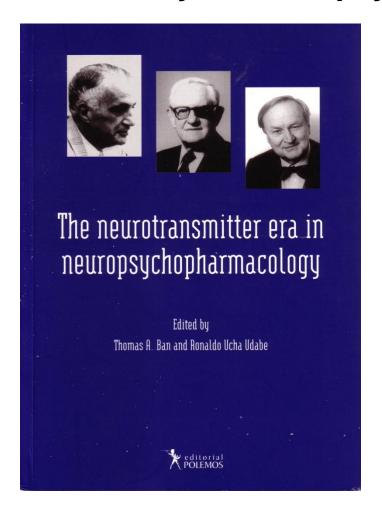
NEUROPSYCHOPHARMACOLOGY (1957)
Birth of composite discipline

# NEUROPSYCHOPHARMACOLOGY LINKS THE EFFECT OF A DRUG ON MENTAL ILLNESS WITH ITS EFFECT ON BRAIN STRUCTURES INVOLVED IN ITS MODE OF ACTION

**KNOWLEDGE** 

PATHOPHYSIOLOGY OF ILLNESS \_\_\_\_\_ MORE SELECTIVE DRUG

## NEUROTRANSMITTER ERA First Epoch In the History of Neuropsychopharmacology



#### NEUROTRANSMITTER ERA NEUROPHARMACOLOGY

Spectrophotofluorimeter & Receptor Binding Assays

**Neurotransmitter dynamics** 

metabolism

regional distribution

effect of drugs on uptake & release

Affinity of drugs to neurotransmitter receptors

#### NEUROTRANSMITTER ERA PSYCHOPHARMACOLOGY

Rating Scales

& Consensus Based Diagnoses

Statistical Methodology (RCT)
efficacy
efficacy in more than one diagnosis

BY LINKING THE MODE OF ACTION OF A DRUG WITH A PHARMACOLOGICALLY HETEROGENEOUS POPULATION, NEUROPSYCHOPHARMACOLOGICAL RESEARCH PROVIDED RELEVANT FEEDBACK ONLY TO THE DEVELOPMENT OF DRUGS WITH DIFFERENT ADVERSE EFFECTS

#### FROM THE NEUROTRANSMITTER TO THE GENETIC ERA

GAP BETWEEN NEUROPHARMACOLOGY WITH CAPABILITY TO TAILOR DRUGS TO RECEPTOR AFFINITIES BY GENETIC TECHNOLOGY AND PSYCHOPHARMACOLOGY WITH METHODOLOGY RESTRICTED TO DEMONSTRATION OF THERAPEUTIC EFFICACY

INCONSISTENT AND CONFLICTING FINDINGS IN MOLECULAR GENETIC RESEARCH

#### FROM THE NEUROTRANSMITTER TO THE GENETIC ERA

#### **RECOGNITION THAT:**

PRIMARY TARGETS OF PSYCHOTROPIC DRUGS ARE ENCODED BY GENES THAT HAD BEEN IDENTIFIED

ANY CLINICAL ENTITY THAT CORRESPONDS WITH A TREATMENT RESPONSIVE POPULATION IS SUITABLE FOR THE GENERATION OF GENETIC HYPOTHESES OF MENTAL ILLNESS

PROGRESS IN MOLECULAR GENETIC RESEARCH DEPENDS ON IDENTIFYING PHARMACOLOGICALLY HOMOGENEOUS POPULATIONS

#### **ALTERNATIVE APPROACHES**

#### **BREAK-UP INTO SIMPLE BIOLOGICAL COMPONENTS**

RE-CONCEPTUALIZE IN DISCRETE BIOLOGICAL DEFICITS

Alternative Phenotypes for Schizophrenia

Abnormality of smooth pursuit eye movement; short arm of chromosome 5

P-50 evoked response deficit; α<sub>1</sub>-nicotinic acid receptor

on long arm of chromosome 15

**GENETIC PSYCHIATRIC NOSOLOGY** 

## TOWARDS THE COMPOSITE DIAGNOSTIC EVALUATION (CODE) SYSTEM

#### **RECOGNITION THAT:**

THERE IS NO ALTERNATIVE METHODOLOGY TO PSYCHIATRIC NOSOLOGY FOR CLASSIFYING MENTAL PATHOLOGY IN A CLINICALLY RELEVANT MANNER

IDENTIFICATION OF TREATMENT RESPONSIVE FORMS OF ILLNESS IS PREREQUISITE FOR PROGRESS

DIFFERENTIAL RESPONSIVENESS TO A PSYCHOTROPIC DRUG WITHIN A DIAGNOSTIC CATEGORY CANNOT BE EXPLAINED BY PHARMACOKINETIC DIFFERENCES

#### TOWARDS THE CODE SYSTEM

INCONSISTENT FINDINGS WITH LINEAR REGRESSION EQUATIONS IN WHICH RATING SCALE SCORES WERE USED

FRANK FISH'S (1964) FINDINGS THAT 86% OF PATIENTS WITH AFFECT-LADEN PARAPHRENIA & LESS THAN 25% OF PATIENTS WITH SYSTEMATIC SCHIZOPHRENIA RESPONDED TO NEUROLEPTIC PHENOTHIAZINES

DEMONSTRATION THAT CONSENSUS-BASED DIAGNOSES (DSM-IV) COVER-UP THEIR COMPONENT DIAGNOSES

Vital Depression

Affect-laden Schizophrenia

#### THE CODE SYSTEM

## METHODOLOGY FOR THE IDENTIFICATION OF TREATMENT RESPONSIVE FORM OF ILLNESS BY UNCOVERING DIAGNOSES

VOCABULARY PSYCHOPATHOLOGIC SYMPTOM PROFILE

STRUCTURED INTERVIEW

DIAGNOSTIC ALGORITHMS DIAGNOSTIC PROFILE

RATING SCALE FOR SEVERITY SEVERITY SCORE

READILY ACCESSBILE INFORMATION RELEVANT TO THE DIAGNOSTIC PROCESS FROM THE LOWEST TO THE HIGHEST LEVEL OF DECISION MAKING

CODE-AD, DD, HD, SD

PETER GASZNER
THOMAS A. BAN

#### **CODE-HD**

Composite Diagnostic Evaluation of Hyperthymic Disorders

> BUDAPEST 1998

#### CODE-DD 1896-1987

VOCABULARY	90 items (codes)
STRUCTURED INTERVIEW	
DIAGNOSTIC ALGORITHMS	25 classifications
RATING SCALE FOR SEVERITY	40 items (codes)

VALIDITY	1 <sup>st</sup> Study	239 pts	99.6%
	2 <sup>nd</sup> Study	322 pts	97.2%
RELIABILITY	1st Study		87.2%
	2 <sup>nd</sup> Study		100.0%

Translations & adaptations from the English original: Estonian, French, Hungarian, Italian, Polish and Portuguese

THOMAS A. BAN

### CODE-DD

VALUTAZIONE E DIAGNOSI DEI DISTURBI DEPRESSIVI

Edizione italiana a cura di Eugenio Aguglia e Bruno Forti



# **Edition française** François Ferrero avec la collaboration de Marc-Antoine Crocq et Jean-François Dreyfus Editions Médecine et Hygiène

#### CODE-DD HYPOTHESES

MAJOR DEPRESSION IS A BROAD DIAGNOSTIC CATEGORY
If depression would be characterized by unmotivated depressed mood,
depressive evaluations, and lack of reactive mood changes, from the 322
patients with theDSM-III-R clinical diagnosis of major depression
only 119, i.e., 37%would have qualified for depression

MAJOR DEPRESSION IS MORE THAN ONE FORM OF ILLNESS From the 322 patients only 95 (29.5%) fulfilled definite criteria of Kraepelin's depressive states and 45 (14%) Schneider's vital depression with little overlap between the two forms of illness

#### **CODE-UD**

## Hippocrates (460-377BC) TO DSM-IVTM (1994) Maximizes potential of uncovering diagnoses MELANCHOLIA

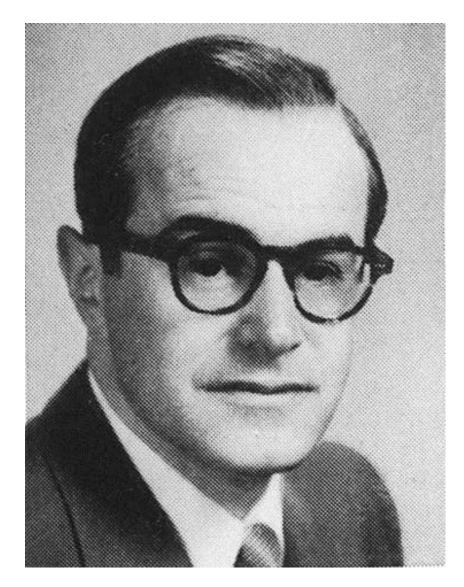
Hippocrates	5 <sup>th</sup> BC	Chronic Mental Disease
Sauvages	1769	Insanity With Disturbed Cognition
Cullen	1769	Insanity With Disorder of Judgment
Heinroth	1818	Insanity With Depression of Emotions
Esquirol	1838	Lypemania (Melancholia) vs. Monomania

#### **CODE SYSTEM**

Methodology for the identification of treatment responsive form of illness if covered up by consensus-based diagnoses

#### NOSOLOGIC MATRIX

Methodology for the development of empirically derived pharmacologically valid classification



Fritz Freyhan

First proposed pharmacological re-evaluation of Kraepelinian nosology with the employment of target symptoms

# NOSOLOGIC HOMOTYPING by NOSOLOGIC MATRIX on the basis of PSYCHOPATHOLOGIC SYMPTOMS & PSYCHIATRIC NOSOLOGY

## IT WAS IN 2002 FIRST THAT NOSOLOGIC HOMOTYPING A METHODOLOGY FOR PHARMACOLOGICAL RE-EVALUATION OF PSYCHIATRIC NOSOLOGY WAS PROPOSED

NOSOLOGIC HOMOTYPES
ARE IDENTICAL IN ELEMENTARY UNITS
(PSYCHOPATHOLOGICAL SYMPTOMS)
AND ARE

assigned the same position in the nosologic matrix constructed with the employment of three nosologic organizing principles:

TOTALITY
TEMPORAL ORGANIZATION
SPATIAL ORGANIZATION

## NOSOLOGIC MATRIX Elementary Units

The elementary units of mental illness in the nosologic matrix are psychopathologic symptoms (1920s)

Psychopathologic symptoms are accessible to pharmacologic manipulation to psychotropic drugs (1950s)

Psychotropic drugs are substances with an effect on the transmission of impulses at the synaptic cleft (1950s)

Psychopathologic symptoms are manifestations in the processing of mental events with each symptom representing a distinct pathology (2002)

Each psychopathologic symptom profile is a potential phenotype of a mental disorder

## NOSOLOGIC MATRIX Elementary Units

The psychopathologic symptom profile is derived by the determination of the "presence" or "absence" of psychopathologic symptoms included in a comprehensive list of psychopathologic symptoms

#### PSYCHOPATHOLOGIC SYMPTOM SCALES

Afferent (perceptual-cognitive) Scale 1 Central (relational-affective) Scale 2 Efferent (adaptive-psychomotor)Scale 3

Some of the symptoms of Scale 1

accelerated thinking agrammatism akoasma(s) alogia anesthesia asyndetic thinking auditory hallucination(s) autistic delusion(s) autoscopic hallucination(s) bizarre delusion(s) circumstantial thinking coenesthetic hallucination(s) command hallucination(s)

## Nosologic Matrix FIRST ORGANIZING PRINCIPLE

## TOTALITY (Esquirol 1838) Mania vs Monomania

Esquirol 1838 Total vs. Partial Partial insanity: Personality remains preserved

Westphal 1878 True vs. Abortive Abortive insanity: Insight that thinking/feelings/actions are pathological

Wernicke1899 Universal vs. Selective Selective insanity: Disorientation is restricted to allo- or auto-or somatopsychic

Leonhard 1957 Complete vs. Incomplete Incomplete: Restricted to one or two components of the psychic reflex

## Nosologic Matrix SECOND ORGANIZING PRINCIPLE

TEMPORAL ORGANIZATION (Kraepelin 1899)
Dementia Praecox vs. Manic-depressive Inanity

Attacks episodes last from minutes to hours

Phases episodes last from days to years

Periods phases recur with regularity

Thrusts acute events yield lasting changes

Continuous process chronic events yield differentiated end-states

Progressive deterioration chronic events yield de-differentiation

## Nosologic Matrix THIRD ORGANIZING PRINCIPLE

SPATIAL ORGANIZATION-POLARITY (Leonhard 1957)
Manic-depressive disease vs. Pure mania/melancholia

Bipolar (polymorph/multiform) swings between two poles of mood/emotions/motility; displays continuously changing variable picture

Unipolar (monomorph/pure)
restricted to one pole of mood/emotions/motility;
displays same picture within & across episodes

NOSOLOGIC HOMOTYPES BASED ON THE NOSOLOGIC MATRIX ARE MORE HOMOGENEOUS POPULATIONS THAN ANY OF THE DIAGNOSTIC POPULATIONS IDENTIFIED BY THE AVAILABLE DIAGNOSTIC INSTRUMENTS

THE INFORMATION GENERATED BY THE USE OF THE NOSOLOGIC MATRIX WOULD ALLOW THE COMPLETION OF THE RE-EVALUATION OF KRAEPELINIAN DIAGNOSTIC CONCEPTS STARTED BY PSYCHIATRISTS AT THE HEIDELBERG CLINIC IN THE 1920s

IF THE INFORMATION COLLECTED BY THE NOSOLOGIC
MATRIX WOULD NOT IDENTIFY PHARMACOLOGICALLY OR
GENETICALLY HOMOGENOUS POPULATIONS IT WOULD INDICATE THAT
PSYCHOPATHOLOGY AND PSYCHIATRIC
NOSOLOGY HAVE NOTHING TO OFFER TO BIOLOGICAL
PSYCHIATRIC RESEARCH AND GENERAL PSYCHOPATHOLOGY
SHOULD BE REPLACED BY A FUNCTIONAL
PSYHOPATHOLOGY AND THE NOSOLOGICAL DISEASE
MODEL BY A REACTION-FORM BASED DISEASE MODEL AS
SUGGESTED BY VAN PRAAG (1992, 2000)

IF THE INFORMATION COLLECTED BY THE NOSOLOGIC MATRIX IDENTIFIES PHARMACOLOGICALLY OR **GENETICALLY HOMOGENOUS POPULATIONS IT WOULD** INDICATE THAT NOSOLOGICAL HOMOTYPING COULD PROVIDE THE KEY FOR THE DELINEATION OF BIOLOGICALLY MEANINGFUL DISEASE CATEGORIES AND BY LINKING THE MODE OF ACTION OF PSYCHOTROPIC DRUGS TO PHARMACOLOGICALLY HOMOGENEOUS POPULATIONS IT WOULD BREAK THE IMPASSE IN THE PROGRESS OF NEUROPSYCHOPHARMACOLOGICAL RESEARCH, PHARMACOTHERAPY, AND MOLECULAR **GENETIC RESEARCH IN MENTAL ILLNESS** 

CONSIDERING THAT NOSOLOGICAL HOMOTYPES ARE DEFINED IN TERMS OF THEIR EFFECT ON PROCESSING OF MENTAL EVENTS, AND PSYCHOTROPIC DRUGS ARE DEFINED IN TERMS OF THEIR EFFECTS ON SIGNAL TRANSADUCTION IN THE BRAIN, THE EMPIRICALLY DERIVED DIAGNOSTIC CATEGORIES COULD PROVIDE CLINICAL ENTITIES WHICH ARE SUITABLE FOR TESTING HYPOTHESES RELEVANT TO THE RELATIONSHIP BETWEEN PROCESSING OF MENTAL EVENTS AND SIGNAL TRANSDUCTION IN THE CENTRAL NERVOUS SYSTEM

NOSOLOGIC HOMOTYPING COULD OPEN A NEW PERSPECTIVE FOR THE DEVELOPMENT OF A PSYCHIATRY IN WHICH MENTAL PATHOLOGY IS PERCEIVED IN TERMS OF PATHOLOGY IN SIGNAL TRANSDUCTION IN THE BRAIN AND FOR THE DEVELOPMENT OF A RATIONAL PHARMACOTHERAPY OF MENTAL ILLNESS