

Brain Stimulation Therapies for Treatment Resistant Depression

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Disclosures

| | |
|---|---|
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Pre-Lecture Exam

Question 1

Magnetic Seizure Therapy (MST) differs from ECT in that:

- a. the goal is not to induce a therapeutic seizure
- b. the use of focused stimulation to produce a seizure
- c. general anesthesia is not required
- d. daily sessions of MST are needed to produce a therapeutic effect
- e. it has a more benign profile in terms of cognitive adverse effects

Question 2

The most common side effect reports with VNS is:

- a. weight gain
- b. sexual dysfunction
- c. cognitive impairment
- d. hoarseness
- e. chest pain

Question 3

Deep brain stimulation is currently FDA approved for the treatment of:

- a. auditory hallucinations in schizophrenia
- b. chronic neuropathic pain
- c. obsessive compulsive disorder
- d. parkinson's Disease
- e. intractable migraine

Question 4

Transcranial Magnetic Stimulation (TMS) differs from Magnetic Resonance Imaging (MRI) technology in that:

- a. the magnetic fields produced are much weaker in intensity
- b. the rate of change of the magnetic field is higher with an MRI versus TMS
- c. MRI technology activates neurons whereas TMS does not
- d. scalp discomfort is common with TMS but not with an MRI

Question 5

Which of the following statements about ECT is not true?

- a. ECT appears to be particularly efficacious in psychotic depression
- b. ECT is not effective in the treatment of mania
- c. ECT is effective in the treatment of bipolar depression
- d. ECT is associate with retrograde memory impairments
- e. ECT is effective in the treatment of pharmacotherapy-resistant major depression

Educational Goals

- Describe the range of brain stimulation technologies (TMS, VNS, DBS, & DCS) being currently investigated in psychiatry for possible therapeutic application
- Examine current evidence for application of these devices in a number of clinical disorders
- Understand the comparative safety profile and adverse events associated with these device technologies for brain stimulation

Overview

- Neurotherapeutics - Definitions
- Electroconvulsive Therapy (ECT)
- Transcranial Magnetic Stimulation (TMS)
- Magnetic Seizure Therapy (MST)
- Vagus Nerve Stimulation (VNS)
- Deep Brain Stimulation (DBS)

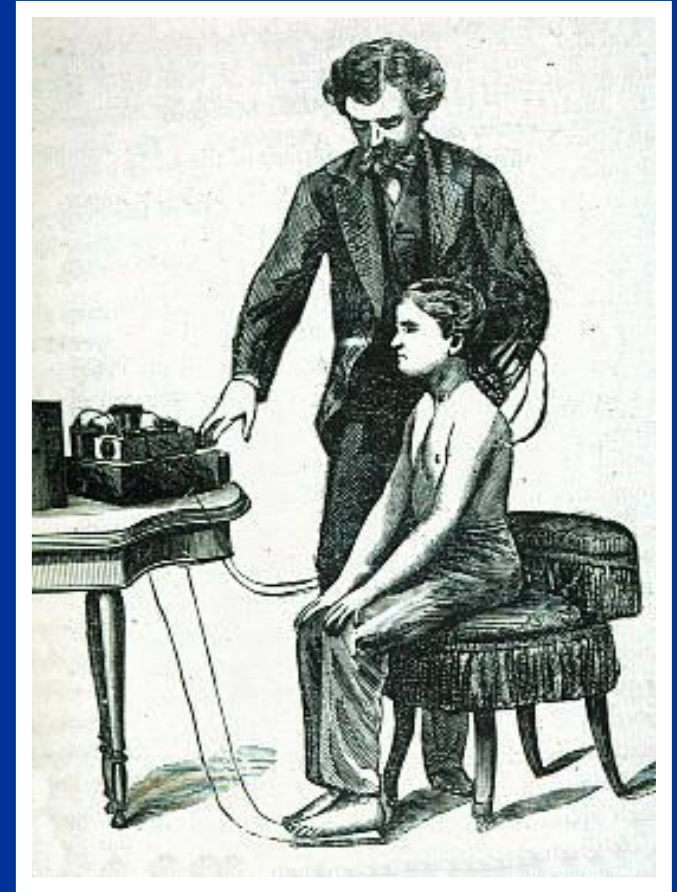
Definitions

Neurotherapeutics

Treatments for nervous system disorders
Pharmacological and other modalities

Neuromodulation

Therapeutic alteration of nerve activity
Central, peripheral or autonomic nervous systems
Electrically or pharmacologically
Implanted devices
Pain, movement disorders, spasticity, epilepsy,
sensory deprivation, urinary incontinence, gastric
dysfunction, pancreatitis/visceral disorders



Neurostimulation

Typically refers to implantable devices with power source, lead wires, electrodes and programming components

Electroconvulsive Therapy (ECT)

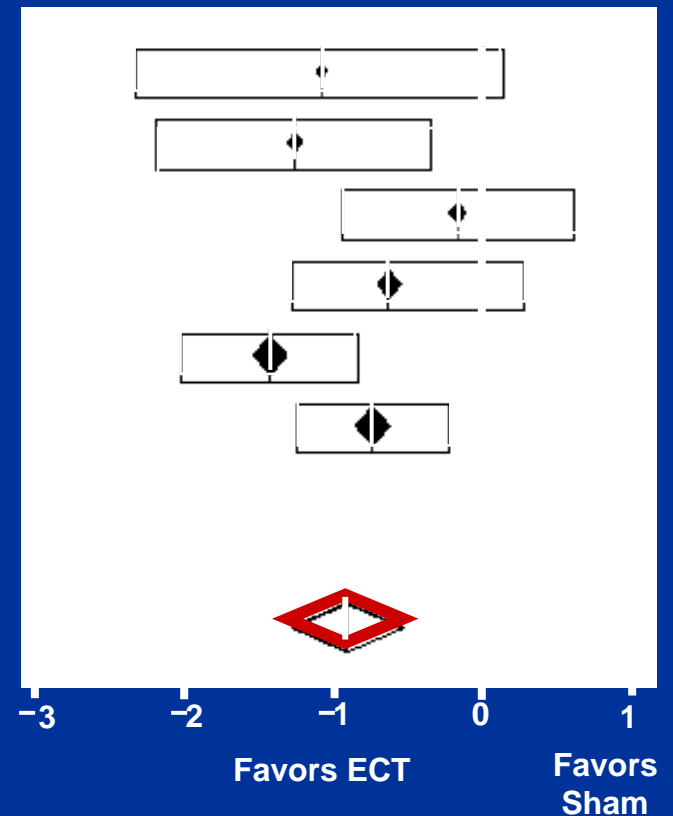
- 1st administered in 1938 (in Rome)
- FDA - approved since 1979 (grand-fathered)
- Brief electrical pulse passed through scalp (0.5 to 6 seconds duration)
- Patient under anesthesia
- Produces seizure on EEG
- Muscle paralysis prevents convulsive movement
- Bilateral or unilateral
- 6 - 12 treatments
- 2 - 3 treatments per week





Efficacy of ECT versus Sham control

| Trial | # of Participants | Standard Effect Size (95%CI) |
|-----------------------|-------------------|------------------------------|
| Wilson 1963 | 12 | -1.078 (-2.289 to 0.133) |
| West 1981 | 25 | -1.255 (-2.170 to -0.341) |
| Lambourn 1978 | 40 | -0.170 (-0.940 to 0.600) |
| Freeman 1978 | 40 | -0.629 (-1.264 to 0.006) |
| Gregory 1985 | 69 | -1.418 (-2.012 to -0.824) |
| Johnstone 1980 | 70 | -0.739 (-1.253 to -0.224) |
| Pooled Fixed Effects | | -0.911 (-1.180 to -0.645) |
| Pooled Random Effects | | -0.908 (-1.270 to -0.537) |



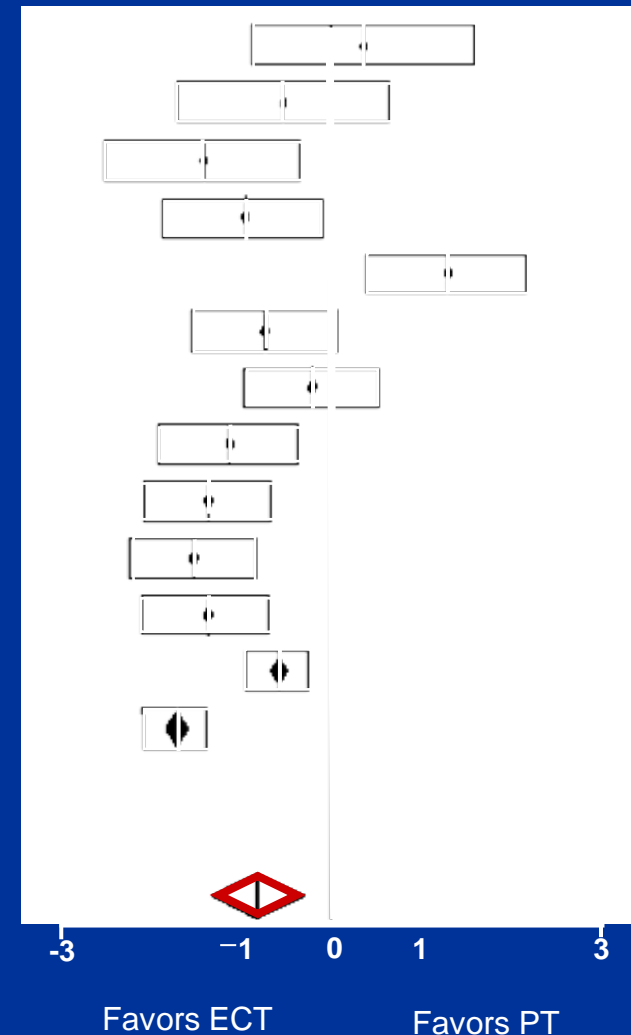
Efficacy ECT versus Antidepressants

| Trial* | # of Participants | Standard Effect Size (95%CI) |
|--------------------|-------------------|------------------------------|
| Steiner 1978 | 12 | 0.369 (-0.840 to 1.578) |
| Wilson 1963 | 12 | -0.513 (-1.663 to 0.637) |
| Davidson 1978 | 19 | -1.389 (-2.449 to -0.328) |
| McDonald 1966 | 22 | -0.930 (-1.813 to -0.047) |
| Gangadhar 1982 | 32 | 1.287 (0.406 to 2.169) |
| MacSweeney 1975 | 27 | -0.714 (-1.492 to 0.065) |
| Dinan 1989 | 30 | -0.196 (-0.926 to 0.534) |
| Janakiramaiah 2000 | 30 | -1.095 (-1.863 to -0.328) |
| Folkerts 1997 | 40 | -1.336 (-2.032 to -0.640) |
| Herrington 1974 | 43 | -1.497 (-2.174 to -0.821) |
| Stanley 1962 | 47 | -1.342 (-2.047 to -0.638) |
| MRC 1965 | 204 | -0.559 (-0.883 to -0.234) |
| Greenblatt 1964 | 242 | -1.683 (-2.020 to -1.346) |

Pooled Fixed Effects -1.010 (-1.170 to -0.856)

Pooled Random Effects -0.802 (-1.290 to -0.289)

Other trials are not included: Kendrick 1965, Bruce 1960, Bagadia 1981, Hutchinson 1963, Robin 1962



ECT Limitations

Limitations

Headache, muscle aches

Cognitive Side Effects: Memory

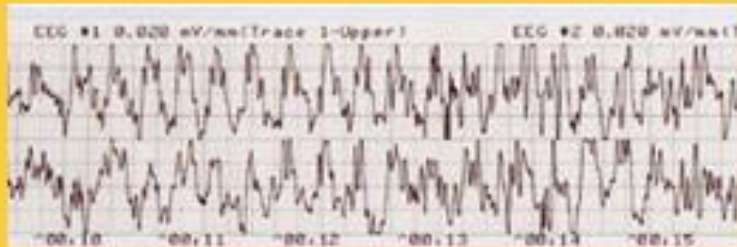
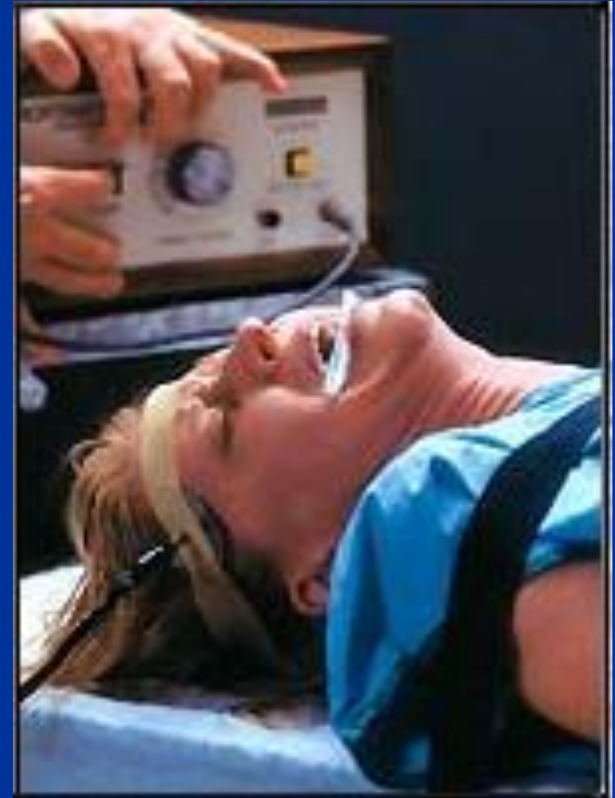
Access: Hospital, Often Inpatient

Stigma

Anesthesia Risks

Cost

Maintenance: ECT v. meds



EEG Seizure Activity



EEG Seizure Termination

Role of ECT in 21st century

- ECT remains a gold standard treatment for severe depression and has yet to be superseded by medication or by any other brain stimulation treatment
- In recent multicenter trials remission rates with ECT are about 75%
- This is 3-4 fold superior to antidepressants

Clinical indications for ECT

- Unipolar and Bipolar Depression
- Catatonia (due to schizophrenia, mood disorders, or medical disorders)
- Mania non-responsive to medication
- Occasionally - schizoaffective disorder, NMS, PD, severe depression in pregnancy

Transcranial Magnetic Stimulation (TMS)

Non-invasive technology

USA: Investigational

Approved: Canada, Israel, Europe

Strong, pulsed (e.g., 2/28 sec) magnetic fields pass through skull unimpeded

Coil placed on head in awake patient

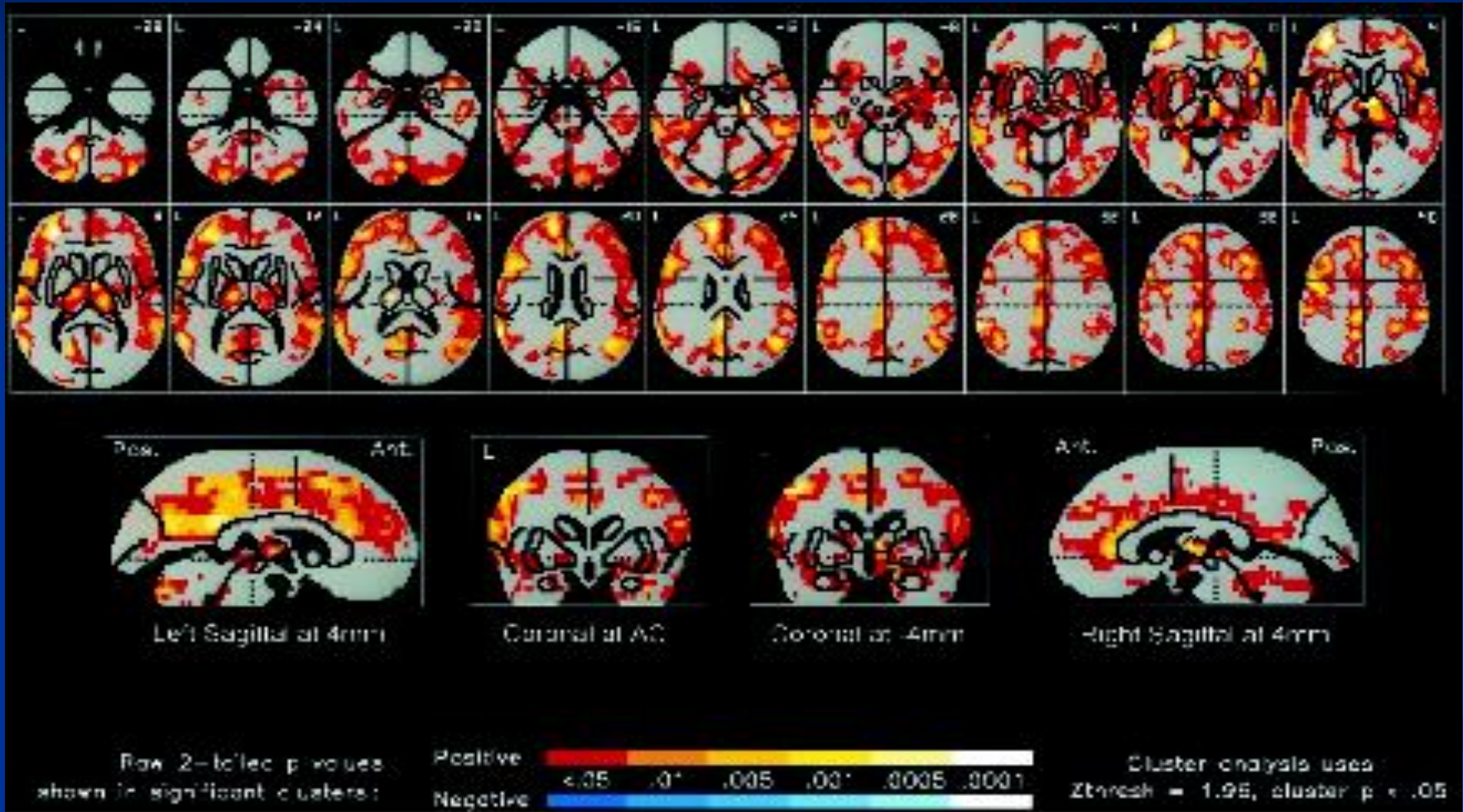
Induces electrical current in cortex which depolarizes neurons

Greater control over site and intensity of stimulation (e.g, left DLPFC)

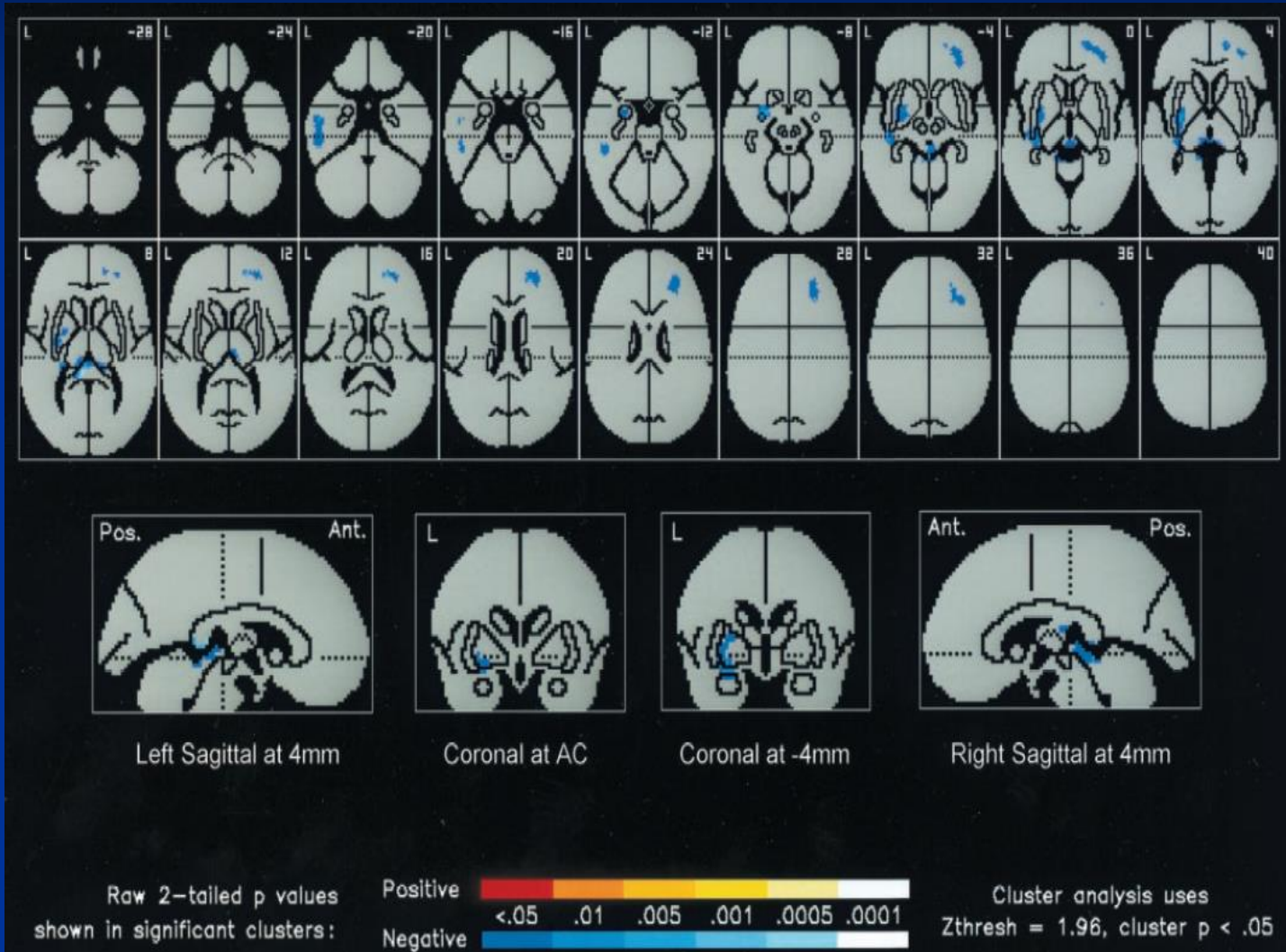
No anesthesia, no cognitive adverse effects



Fast (20 Hz) TMS - excitatory



Slow (1Hz) TMS - inhibitory



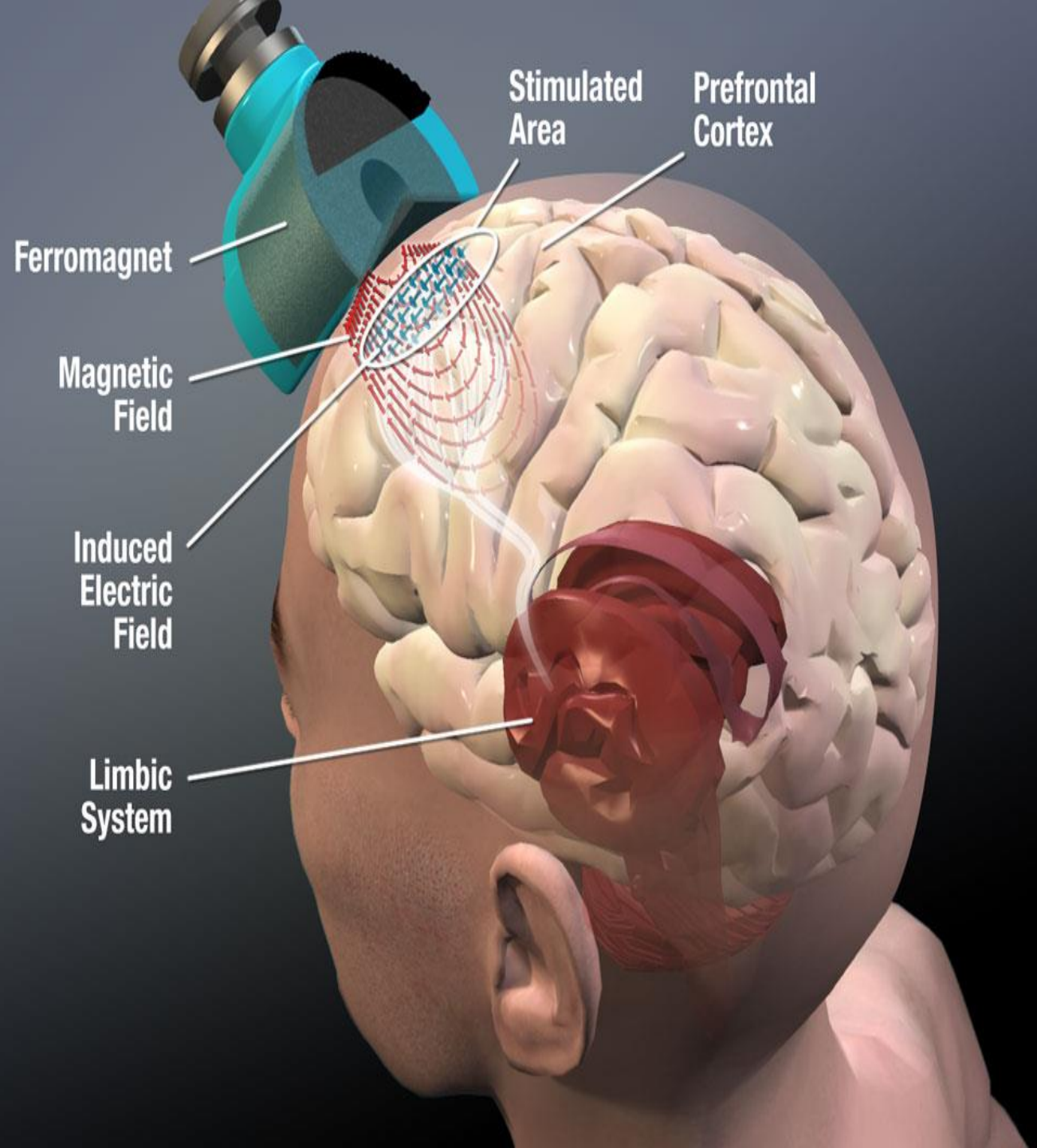
Speer et al Biol Psych 2000

How do MRI and TMS Differ?

| | MRI | TMS |
|----------------------------------|-----------|------------|
| Magnetic Field Strength | 1.5 Tesla | 2 Tesla |
| Rate of Change of Magnetic Field | 20 T/s | 20,000 T/s |
| Induces Current in Brain | No | Yes |

Overview of TMS

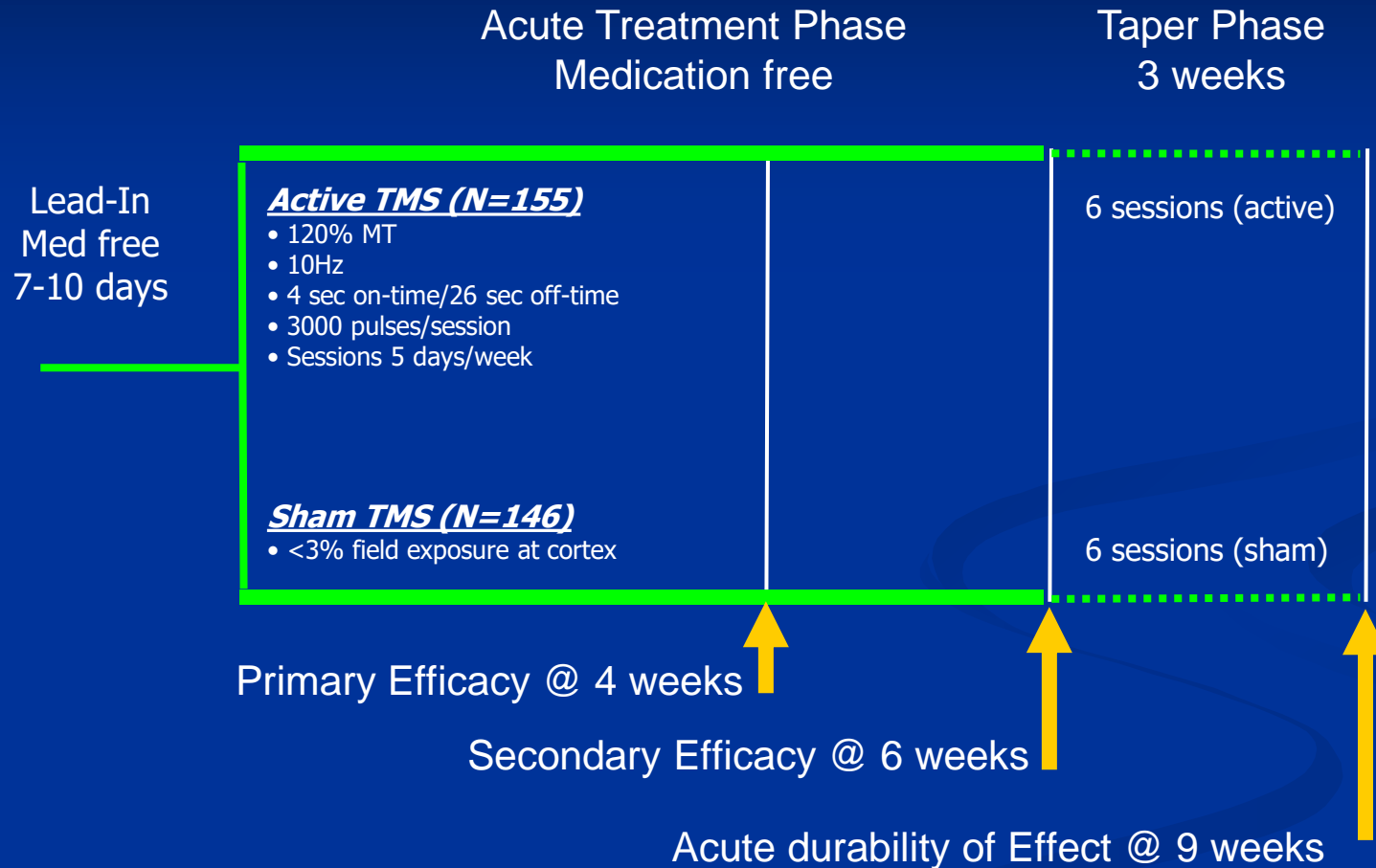
- 1) Electrical energy in insulated coil on the scalp induces
- 2) Pulsed magnetic field of about 1.5 Tesla in strength
- 3) Passes unimpeded through the cranium for 2-3 cm
- 4) In turn induces a focal electrical current in the brain
- 5) Get desired local and distal effects on the target neural circuitry
- 6) Delivered as single pulses or repeated trains (rTMS)



TMS application in Psychiatry

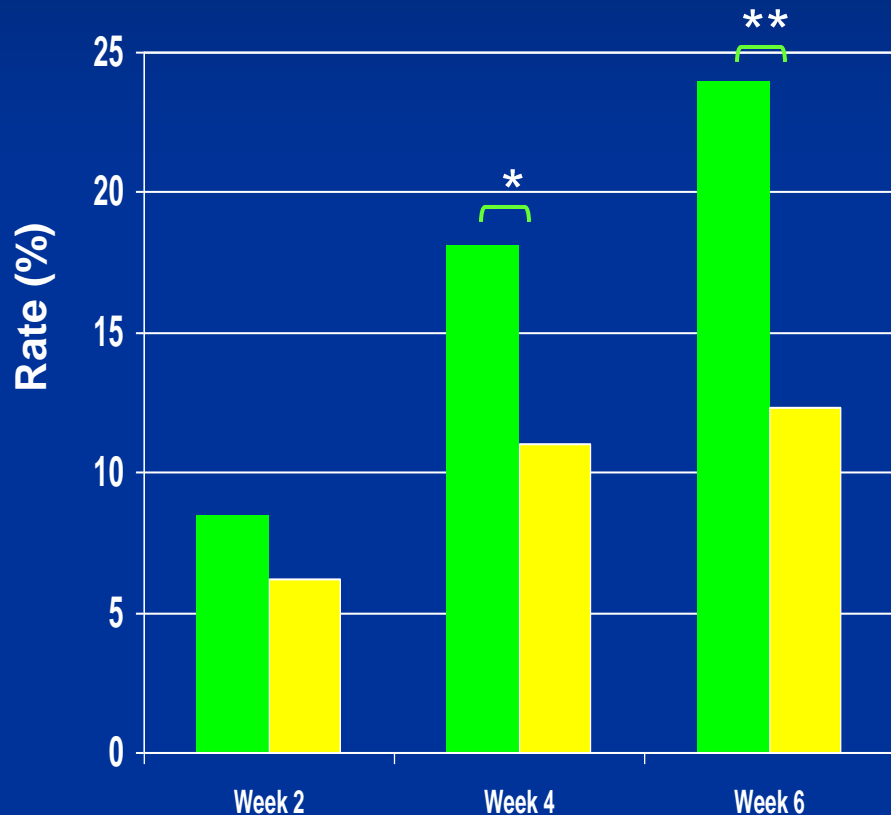
- Best studied in depression, with about 30 RCT of active versus sham TMS (n=1500)
- Evidence for efficacy reasonable at this juncture with an effect size of about 0.75 in most recent metanalysis¹
- Safety is excellent, with minimal side effects, & low dropout rates ($\sim 5\%$)²

Multicenter study of TMS in MDD

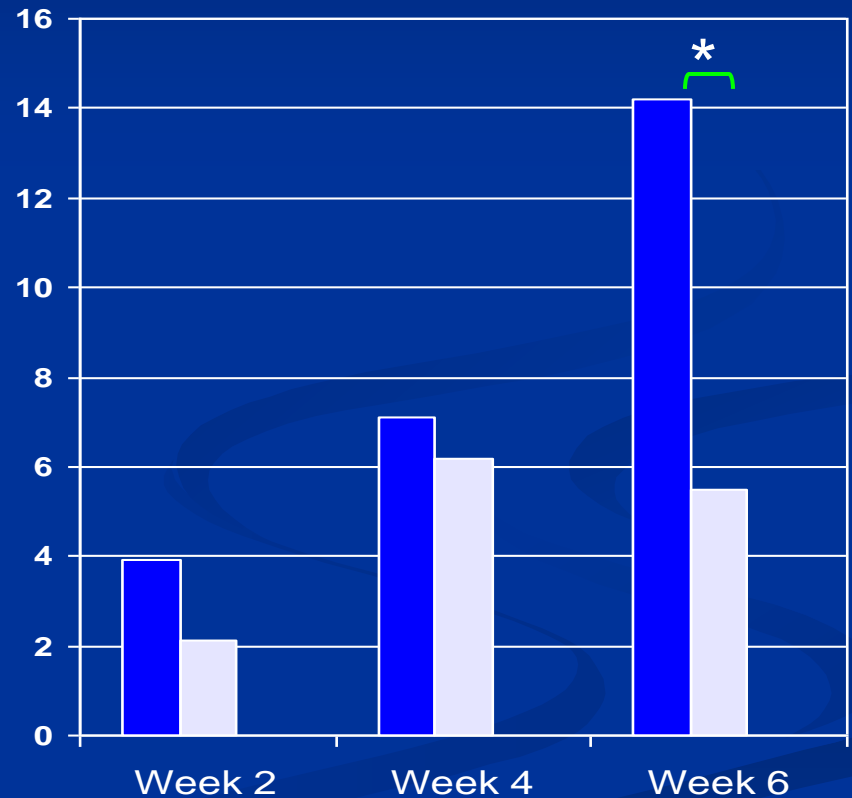


Categorical Outcomes at 4 & 6 weeks

Response Rates



Remission Rates



■ = Active Responders ■ = Sham Responders ■ = Active Remitters ■ = Sham Remitters

* $P < .05$ vs. sham, ** $P < .01$ vs. sham, LOCF analysis

TMS for other disorders

- TMS has an inbuilt flexibility in treatment targeting
- Electromagnet can be moved over scalp and targeted to desired area of the cortex
- Frequency selection allows activation or inhibition of circuits accessible at the level of cortex, guided by imaging findings

Other possible applications of TMS

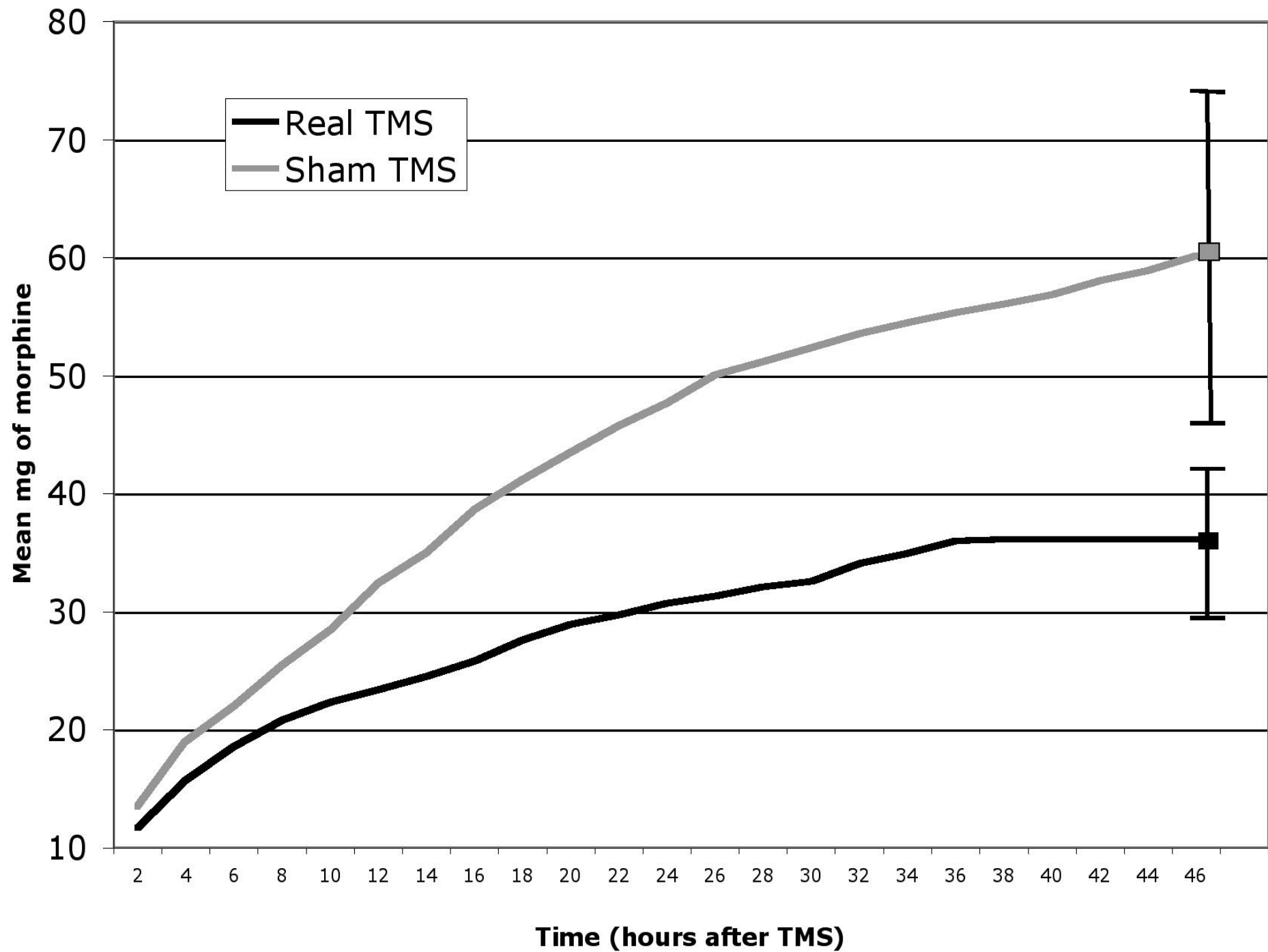
- Auditory hallucinations in schizophrenia – 1 Hz TMS over superior temporal gyrus
- PTSD – 10 Hz over R prefrontal cortex
- ADHD – to target the R medial frontal gyrus
- Other areas being studied include stroke rehab, migraine, Tourette's Syndrome

Schizophrenia and TMS

- Application of continuous 1 Hz TMS over temporoparietal cortex to inhibit generation of AH
- Recent metaanalysis of 10 controlled studies (n=212) was positive, with a substantial ES of 0.76 (95% CI range 0.36-1.17)
- Sample sizes generally small (range 10-50 subjects)
- Well tolerated, implies language perceptual disturbance key to etiology of AH

Post-operative pain & TMS

- Recent sham-controlled study of 1 session of 20 minutes of 10 Hz TMS over L PFC (4000 pulses total) in bariatric surgery patients (n=20)
- Main outcome was PCA of morphine/opioids in first 48 hours post surgery
- With active TMS there was 40% less usage of PCA (=24 mg less of morphine over 48 hours)





Supporting wrist for APB movement monitoring

Laptop running PEST software

TMS Coil

TMS Machine

67

TMS in Migraine

- TMS used to understand the pathophysiology of migraine – migraineurs have been shown to a lower phosphene threshold (excitation) over V1 (primary visual cortex) compared to controls
- Recent positive results with inhibitory TMS in controlled study of migraine with occipital target
- A 2:1 advantage found over the control condition in migraine with aura (~75% vs. 40%)

A TMS Investigational Device for Migraine relief



Lightweight device, intended for home use, delivers fixed pulse, has over use limits in place

TMS future as clinical treatment

- Currently FDA reviewing application for approval for TMS as a treatment for major depression
- TMS clinically available in Canada, Australia, Israel & Europe
- Available off-label in some centers in the US
- TMS is a safe intervention & may be promising option for a number of psychiatric & neurological disorders

Magnetic Seizure Therapy (MST)

Investigational

Magnet-induced stimulus (like rTMS)

High Intensity

Target “antidepressant regions”

Fewer side effects

3 sessions/week

Same as ECT

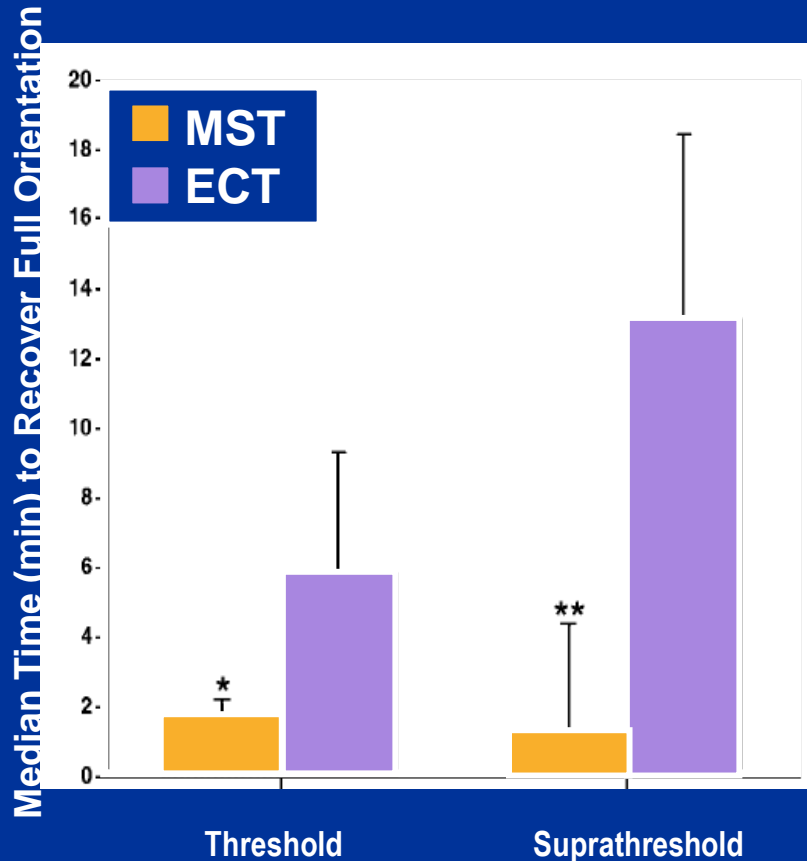
- Anesthesia

- Tonic clonic seizure

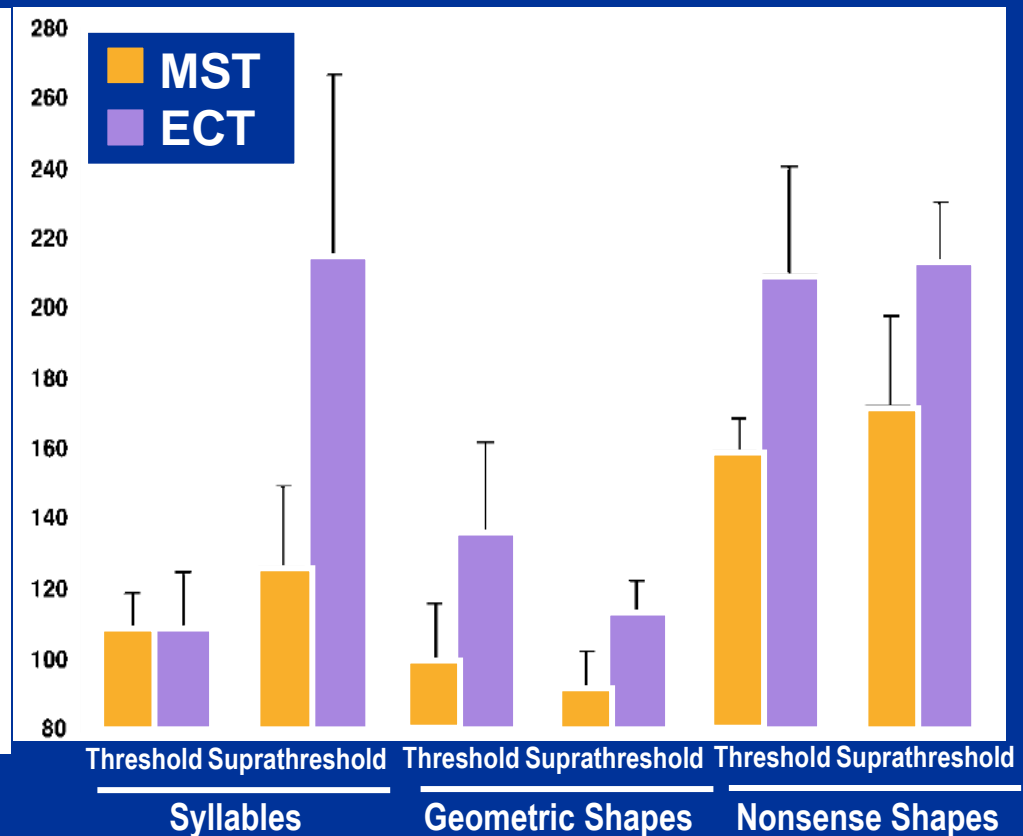
- Monitor EEG, vitals



MST: Shorter Period of Post-Ictal Disorientation and Inattention



*Threshold MST v.ECT, $p < .004$



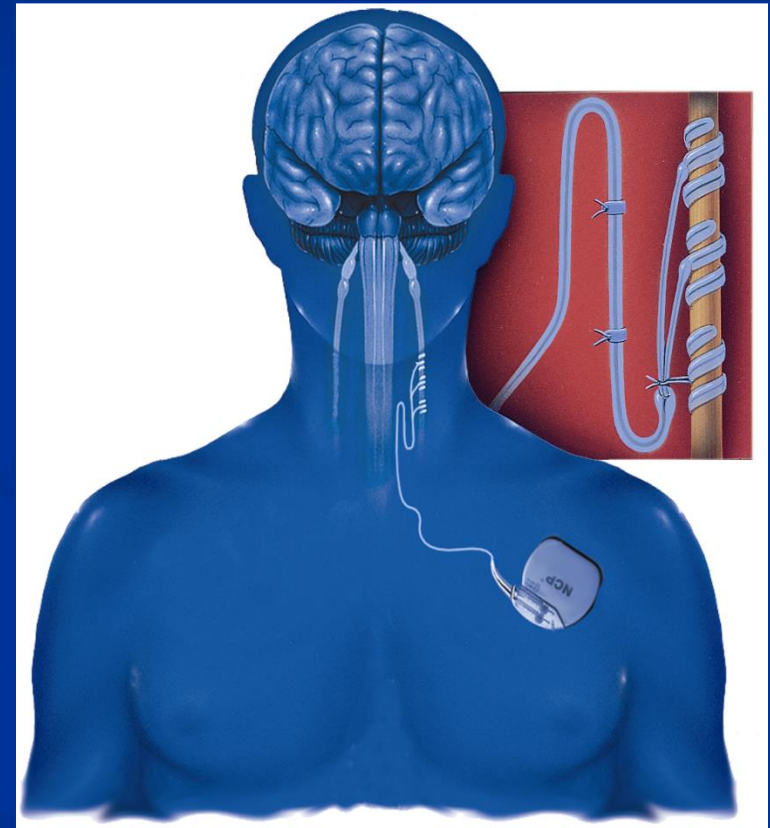
Faster following MST, $p < .01$

Lisanby SH et al. *Neuropsychopharmacology*. 2003.

This information concerns a use that has not been approved by the U.S. Food and Drug Administration

Vagus Nerve Stimulation (VNS)

- FDA approved for epilepsy; FDA approved for TRD July, 2005
- Implanted in over 30,000 patients worldwide
- Pulse generator implanted in left chest wall area, connected to leads attached to left vagus nerve
- Mild electrical pulses applied to CN X for transmission to the brain



Vagus Nerve Stimulation (VNS)

Intermittent, cycled stimulation

30 sec on/5 min off

24/7 continuous cycles

In-office programming (dosing) by the
treating physician

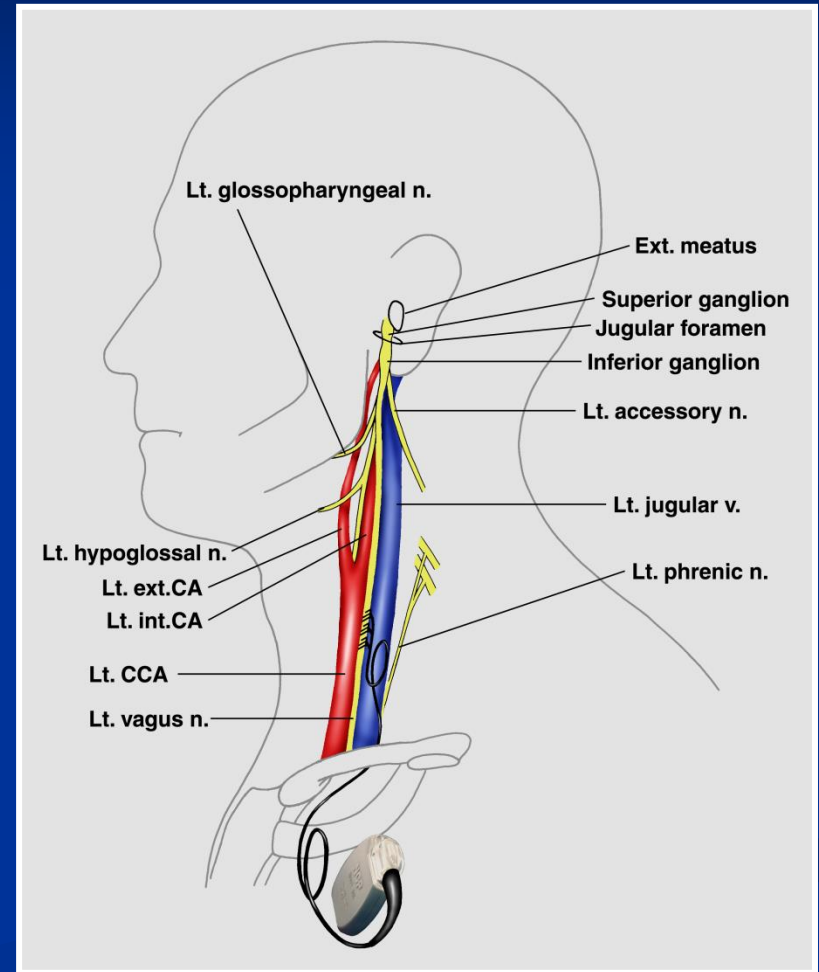
Fact that it is an implant helps
adherence/compliance



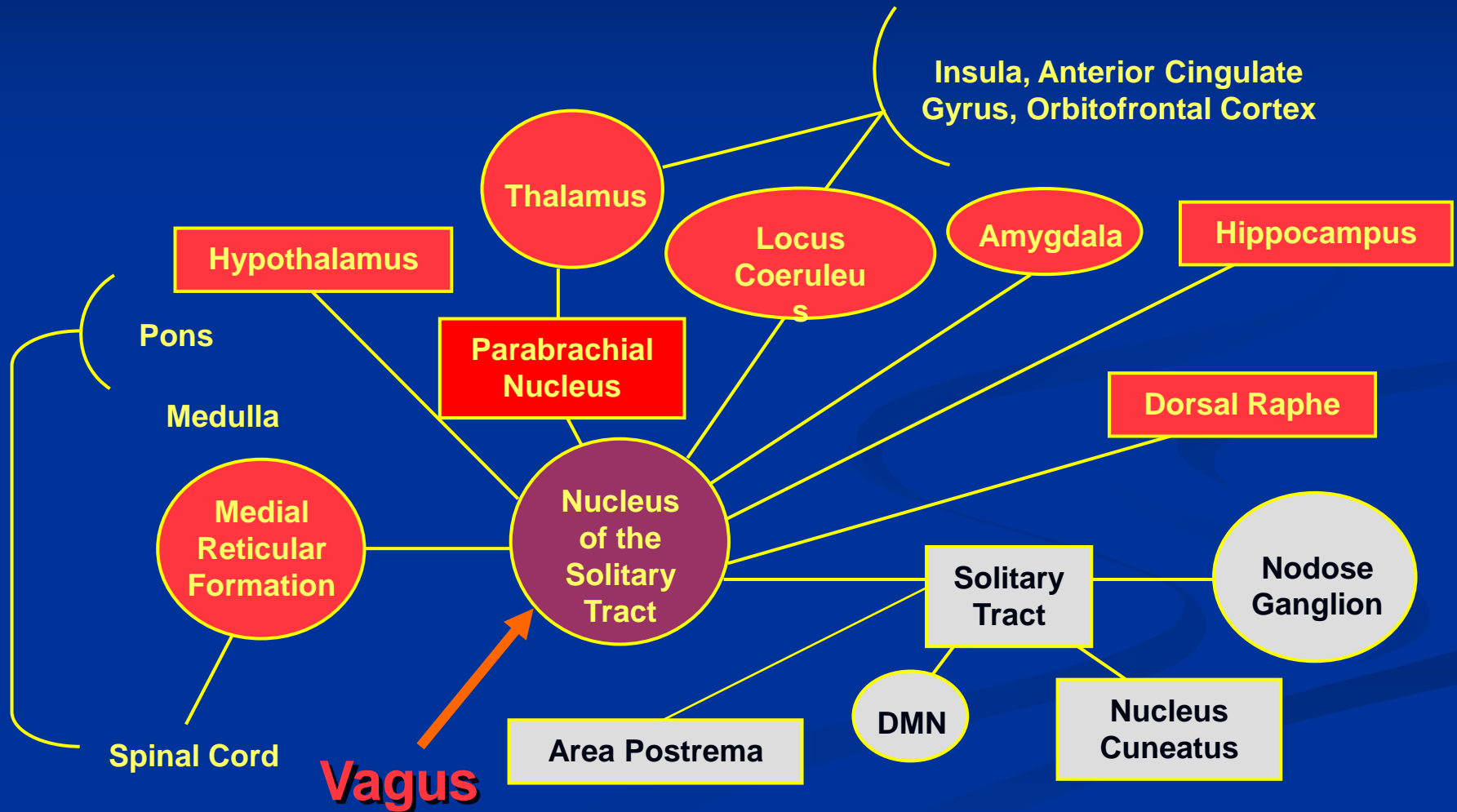
Cervical Vagus Nerve Anatomy

- ~80% afferent fibers, mostly unmyelinated
- ~20% efferent fibers, mostly unmyelinated parasympathetic fibers to thoraco-abdominal viscera
- Some myelinated fibers to striated muscles of the pharynx and larynx

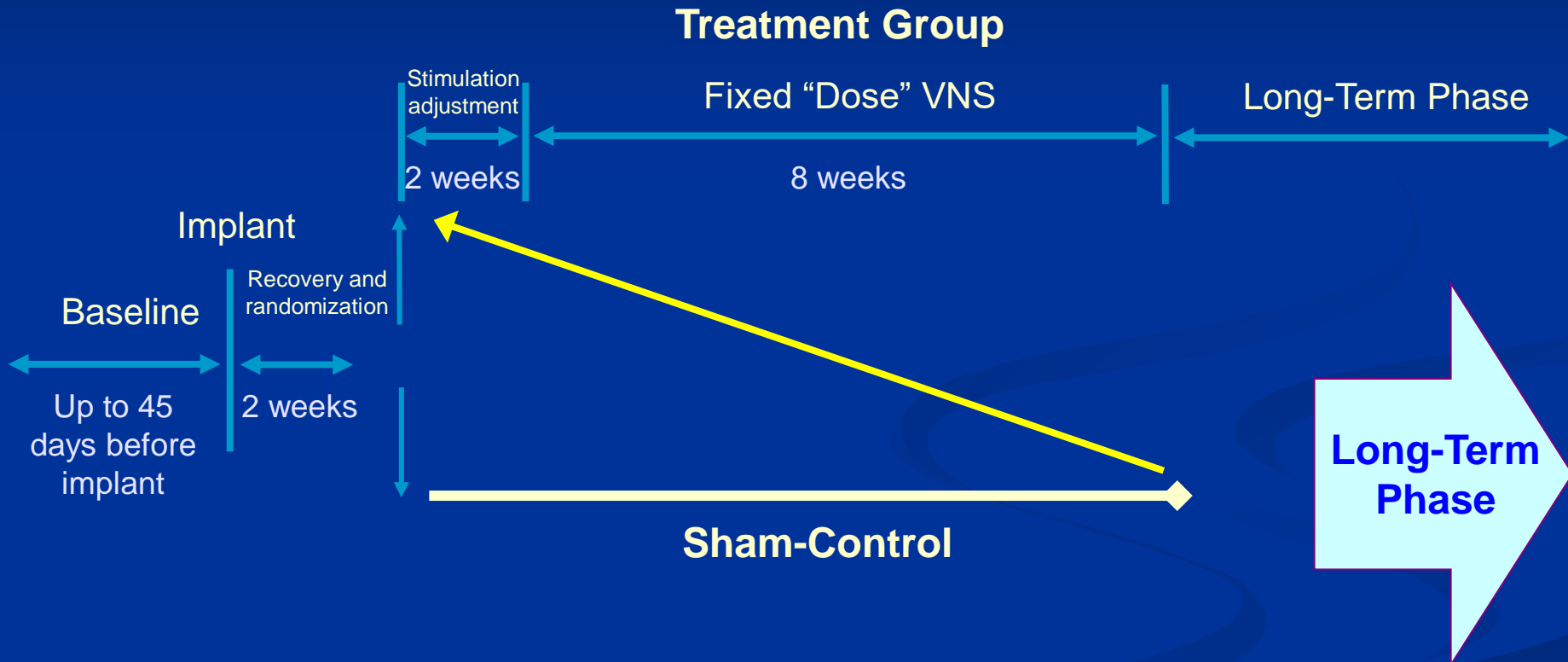
Henry TR. *Neurology*. 2002;59(suppl 4):S3-S14.



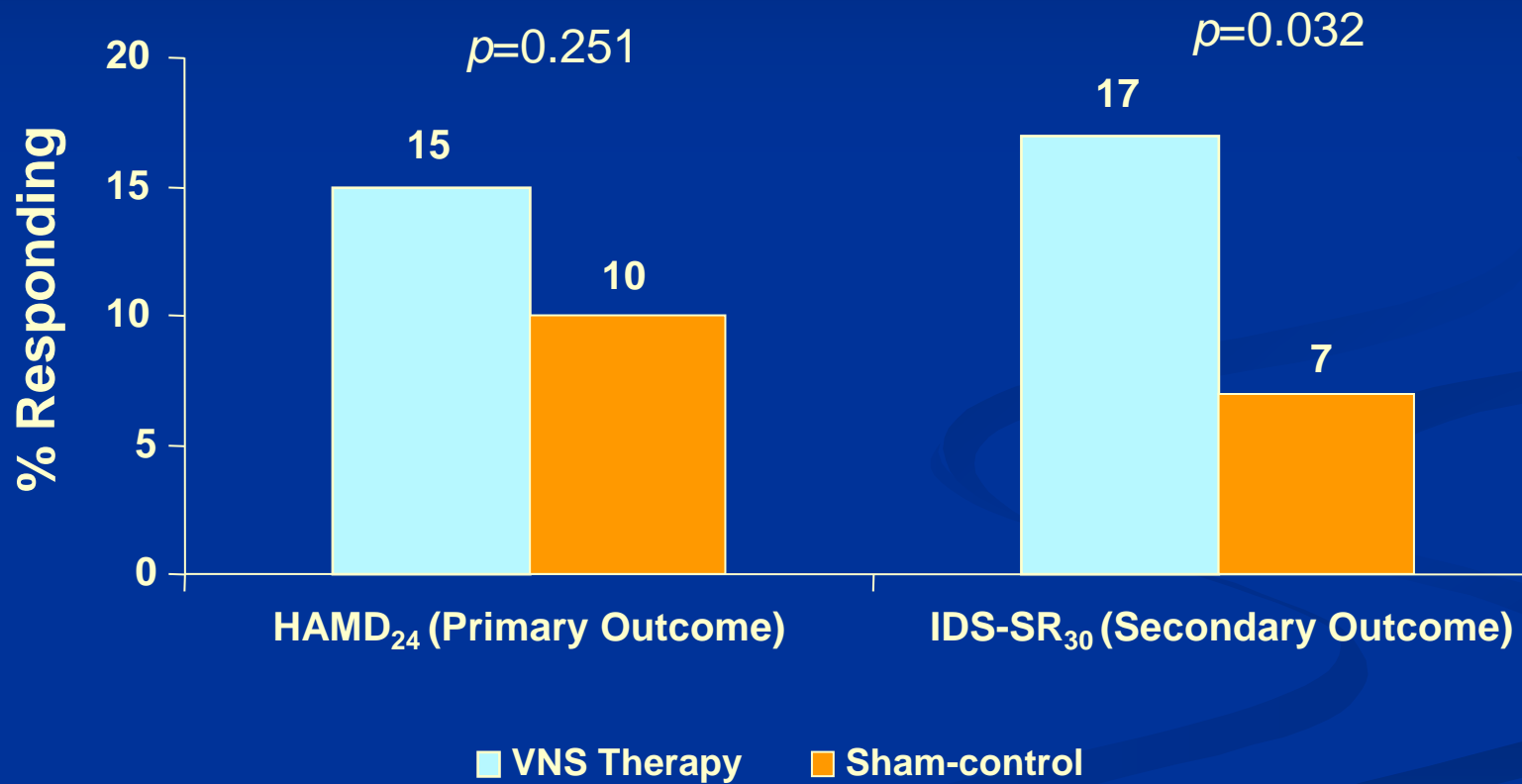
VNS: Afferent Pathway to the Brain



VNS Pivotal Study Design

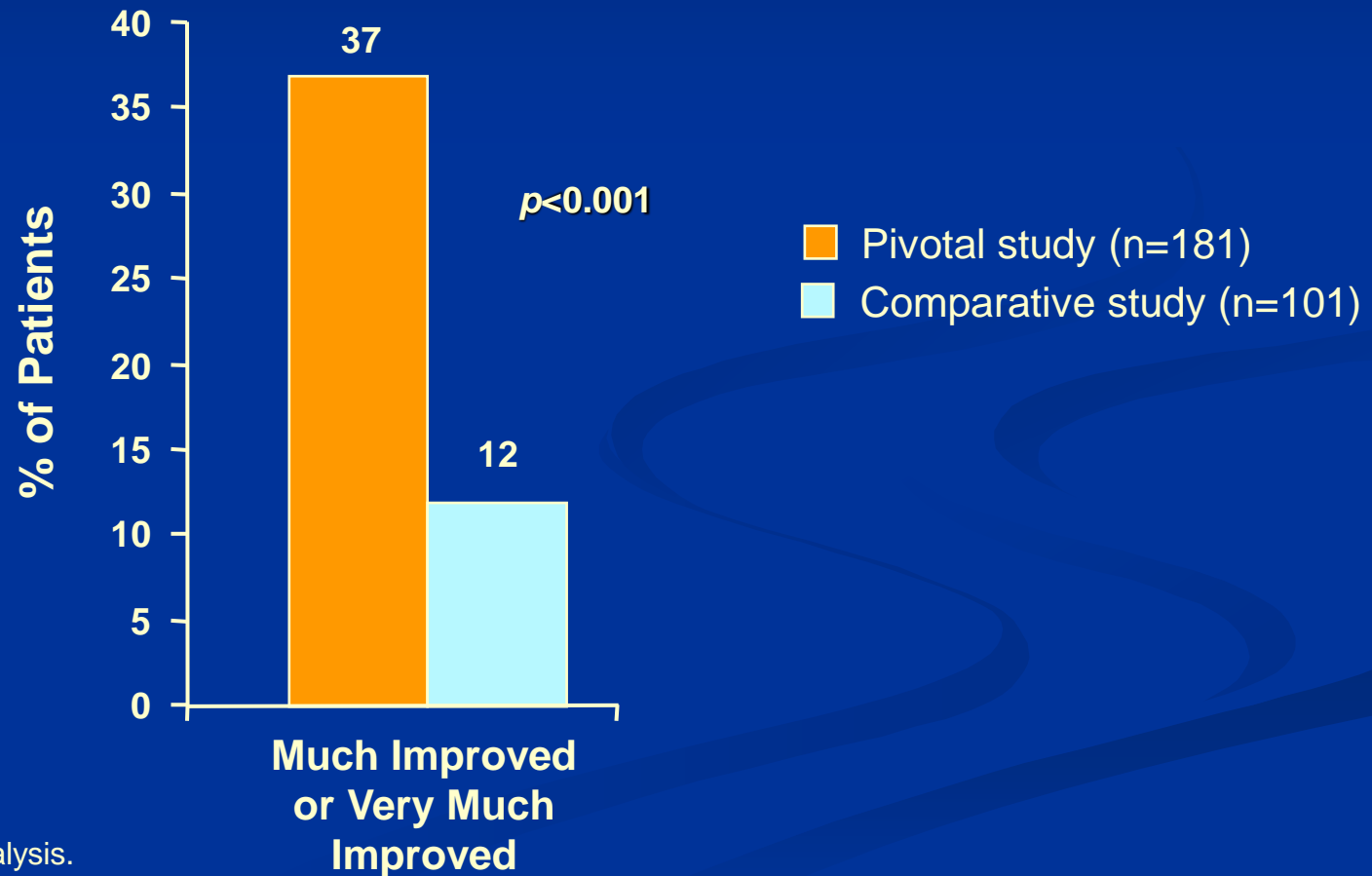


Acute outcome at 12-weeks



VNS versus Treatment as Usual

CGI-I Categorical Outcome at 12 Months

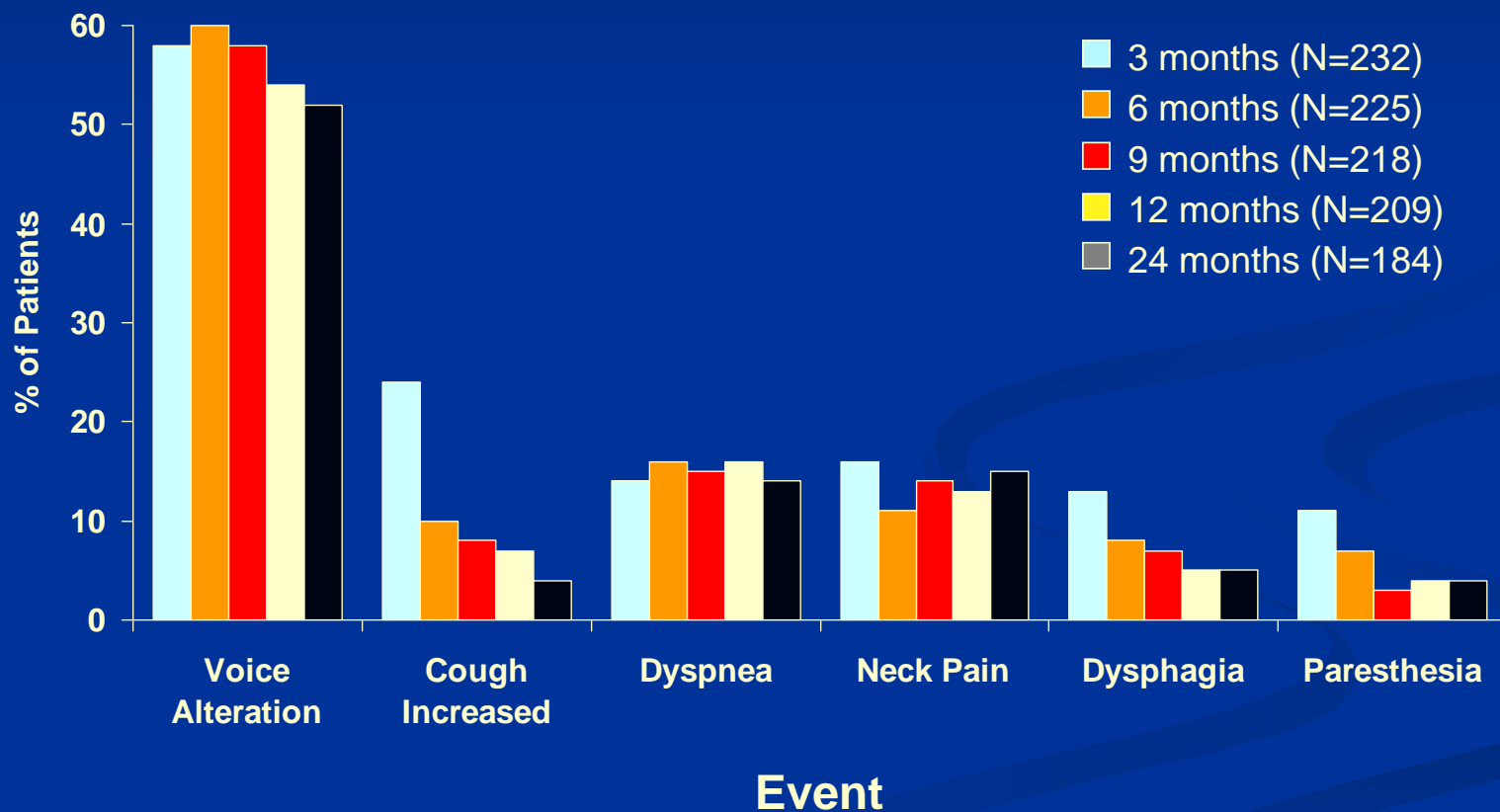


Evaluable observed analysis.

George MS, et al. *Biol Psychiatry*. 2005;58:364-373.

Safety profile of VNS

Most Frequently Reported Stimulation-Related AEs at 3 Months ($\geq 10\%$)



VNS Advantages

- ✓ Well tolerated with high adherence rates
- ✓ Implant so guaranteed treatment delivery
- ✓ No cognitive impairment, or related stigma
- ✓ No weight gain, no known metabolic issues, no sexual dysfunction side effects

Disadvantages / Controversies

- Surgery is an obstacle for some patients, and overall costs upfront are high relative to pharmacotherapy and psychotherapy
- Controversy associated with FDA approval, given failed pivotal trial, has limited access in practice for patients – Medicare has decided against covering VNS for TRD
- May be a disincentive for future development of neuromodulation devices in psychiatry

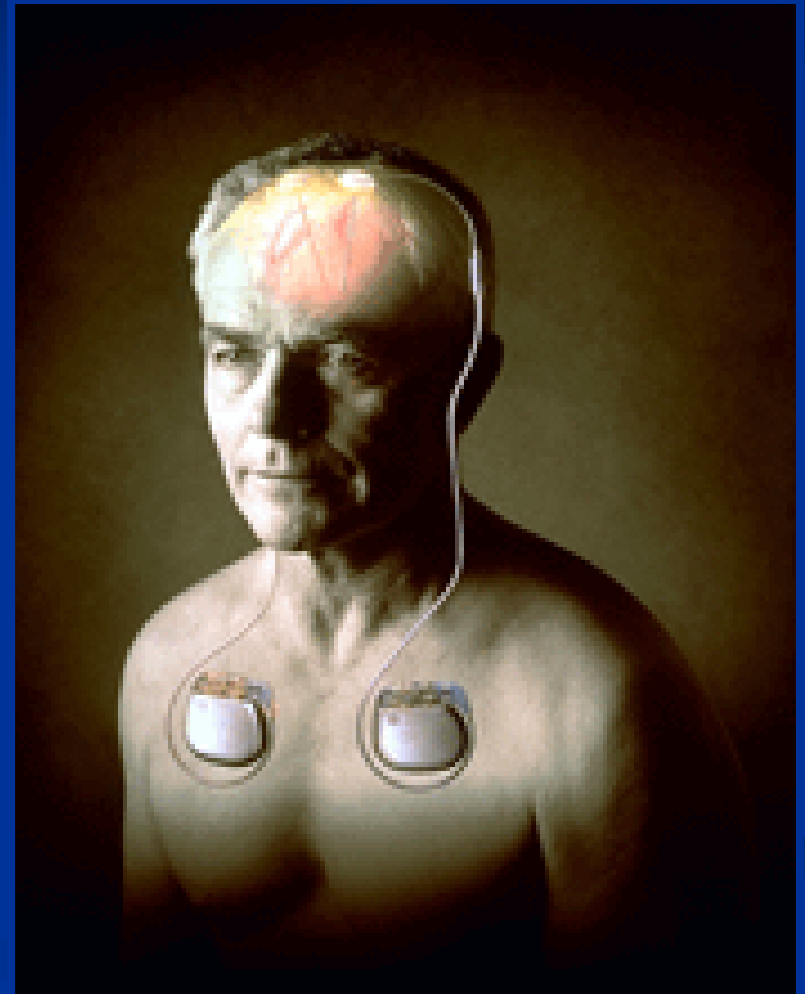
CMS denial of VNS coverage

- "CMS does not believe there is a treatment effect directly attributable to VNS therapy based on the current evidence"¹
- “The pivotal randomized, controlled trial of VNS, subsequent to a pilot study, failed”¹
- Medicare, however, has covered VNS for epilepsy since 1999, where evidence for efficacy is similar to TRD

1. www.cms.hhs.gov/MCD/viewdraftdecisionmemo.asp?id=195, accessed 2/13/07

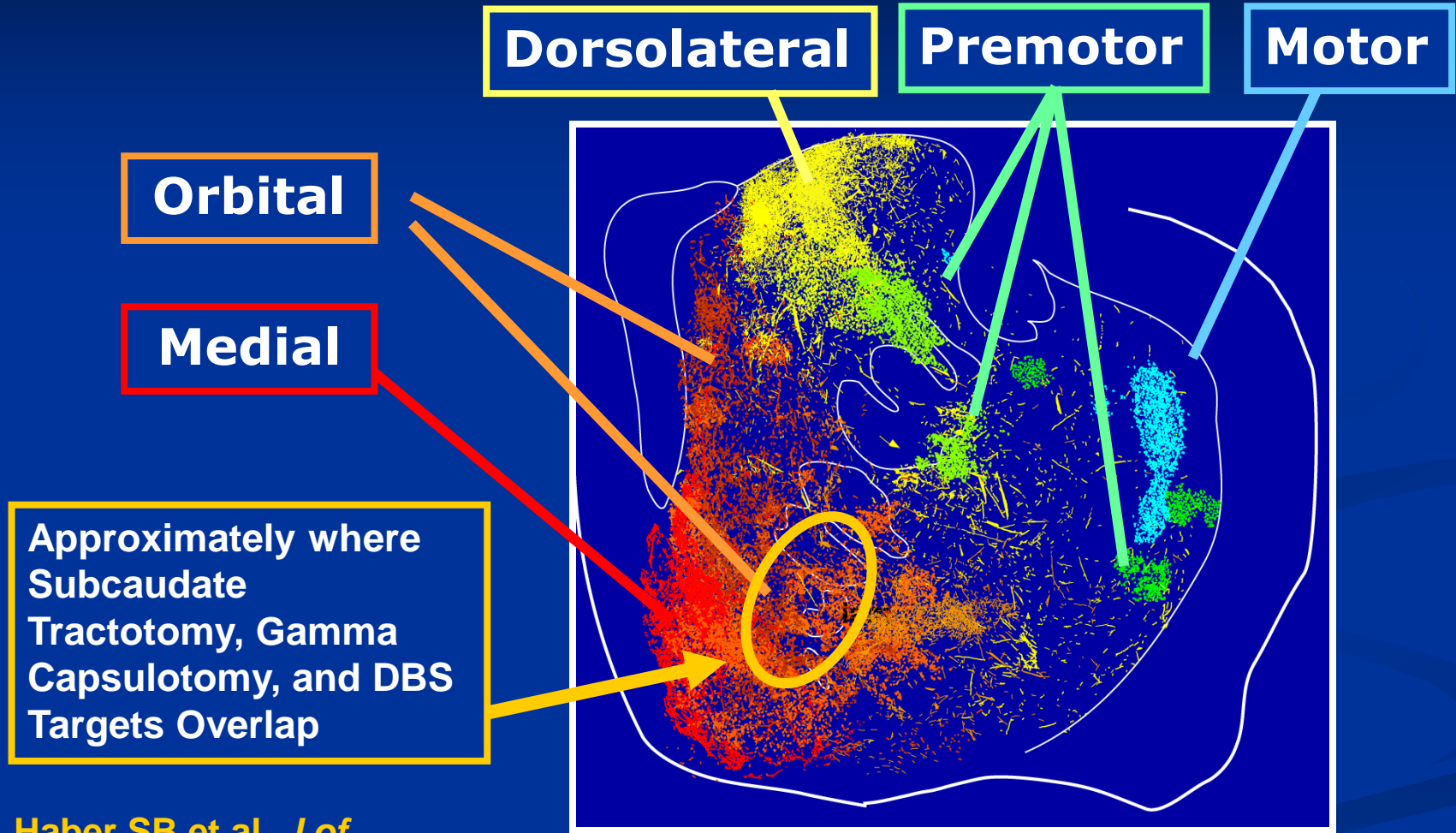
Deep Brain Stimulation (DBS)

- FDA Approved for Parkinson's and Tremor
- Investigational for OCD, TRD
- Stereotactic Target from MRI
- Two chest-wall Pulse Generators
- Burr holes in skull for electrode placement
- Stimulation parameters programmed by computer, through "wand"



This information concerns a use that has not been approved by the U.S Food and Drug Administration

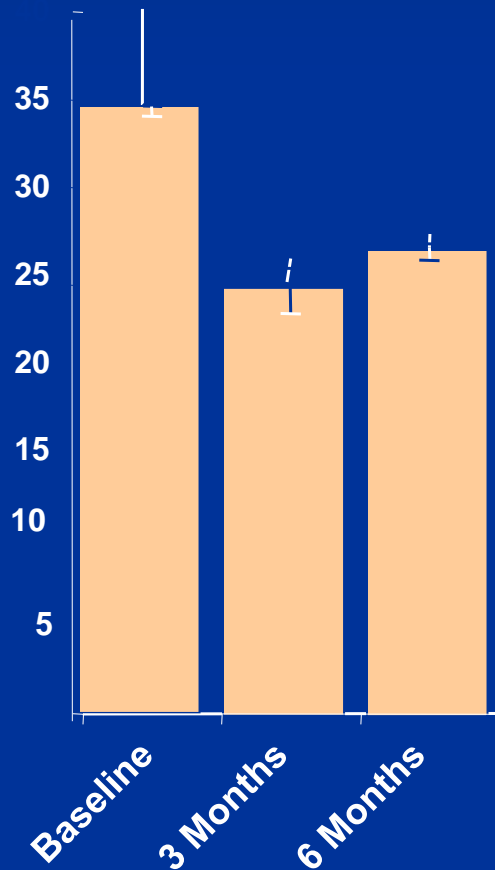
DBS Targets - Anterior Limb of the Internal Capsule/Ventral Striatum



Haber SB et al. *J of Neuroscience*. 1995.

Brown experience with DBS for OCD (n=10)

YBOCS Severity
Improvement During DBS
in Intractable OCD



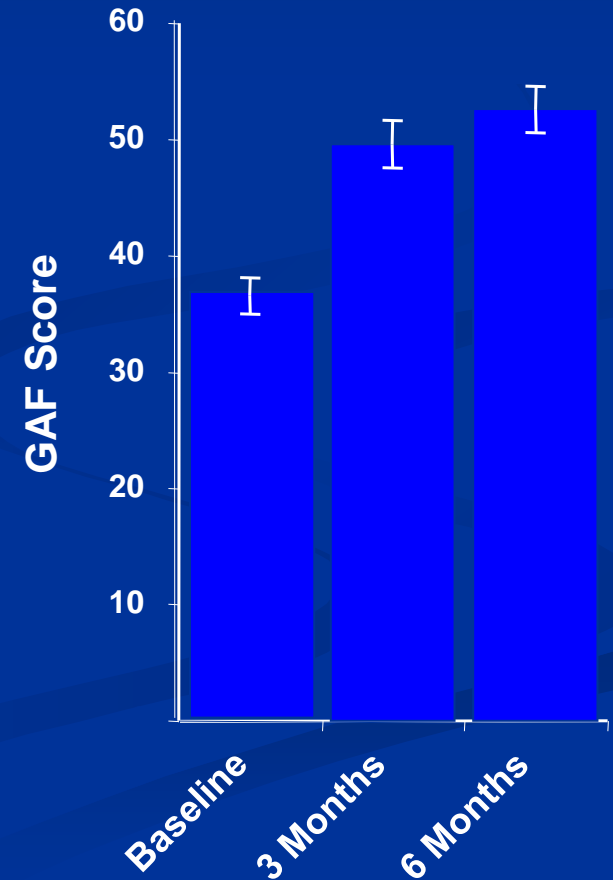
35% ↓ YBOCS

3/10 (6 months)

25% ↓ YBOCS

5/10 (6 months)

Functional
Improvement During
DBS in Intractable OCD



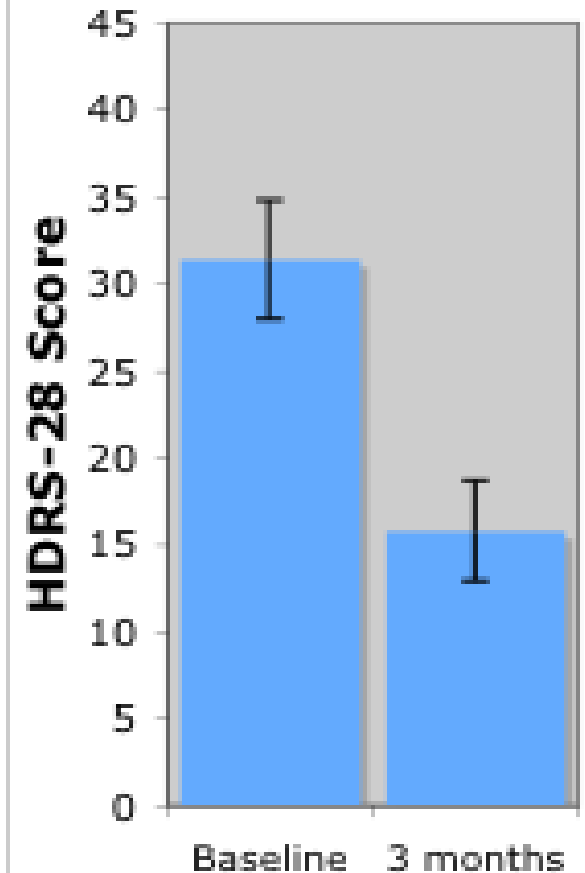
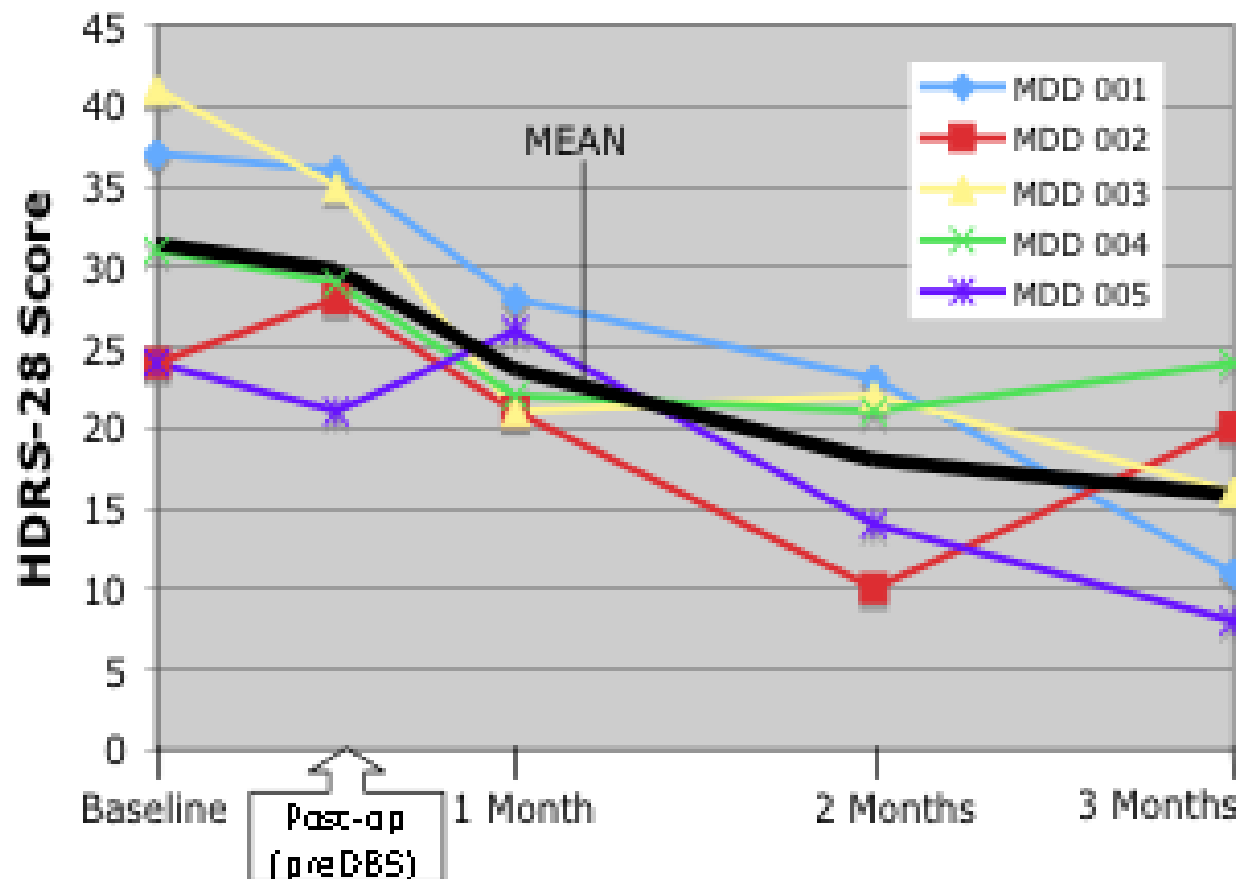
DBS for OCD: Adverse Effects

- Surgical
 - Small hemorrhage without symptoms or sequelae
 - Superficial infection
 - Single intraoperative seizure
- Stimulation
 - Hypomania (4/10)
 - Sensorimotor effects (facial)
 - Insomnia
 - Autonomic
 - Memory flashbacks
 - Panic
- OFF effects
 - Symptom return
- No AEs were persistent

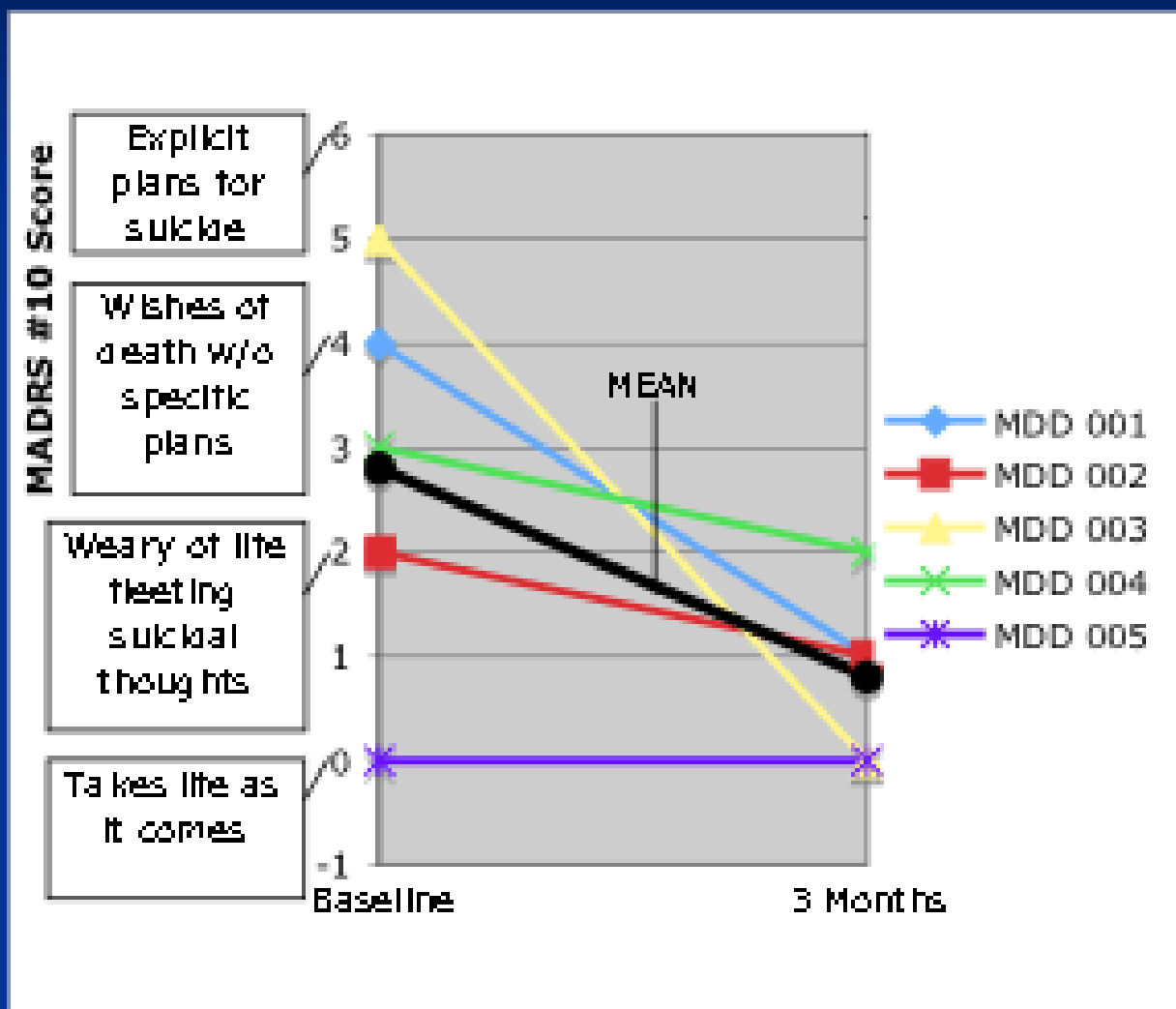
DBS for TRD: pilot Study n=5

| | AGE | SEX | HANDED-NESS | DIAGNOSIS DSM-IV | DURATION OF MDD | MEDS/ECT RESPONSE |
|-----|-----|--------|-------------|--|-----------------|----------------------------------|
| 001 | 54 | Male | Right | Severe/chronic unipolar MDD, w/ melancholia | 36 years | None |
| 002 | 60 | Male | Right | Severe bipolar I disorder, MDD w/ melancholia | 35 years | No sustained benefit |
| 003 | 51 | Female | Left | Unipolar MDD w/ melancholia | 19 years | None |
| 004 | 51 | Female | Right | Unipolar MDD w/ melancholia | 9 years | Intermittent benefit |
| 005 | 43 | Female | Right | Severe unipolar MDD, single episode, w/ melancholic features | 6 years | Minimal, short-lived improvement |

Depression Improvement During DBS in Intractable Depression



Reduced Suicidality During DBS



DBS: Subgenual Cingulate (Cg25) Region

Table 2. Hamilton Depression Rating Scale, HDRS-17, Scores over Time for Each Subject

| Time | Hamilton Score ^a | | | | | |
|-----------------------------------|-----------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| | Pt 1 ^b | Pt 2 ^c | Pt 3 ^b | Pt 4 ^c | Pt 5 ^b | Pt 6 ^b |
| Preop baseline | 29 | 22 | 29 | 24 | 26 | 25 |
| 1 week postop (acute stimulation) | 5 | 10 | 12 | 18 | 17 | 12 |
| 2 weeks postop (DBS off) | 9 | 13 | 23 | 18 | 22 | n/a |
| 1 month | 10 | 14 | 17 | 20 | 22 | 12 |
| 2 months | 13 | 11 | 12 | 18 | 10 | 12 |
| 3 months | 2 | 15 | 14 | 25 | 7 | 14 |
| 4 months | 4 | 9 | 12 | 24 | 6 | 12 |
| 5 months | 5 | 18 | 7 | 23 | 8 | n/a |
| 6 months | 5 | 15 | 9 | 23 | 6 | 12 |

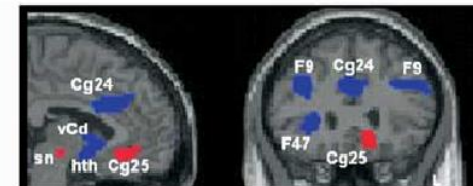
^aClinical response: decrease HDRS score >50%. Clinical remission: absolute HDRS score <8.

^bClinical responders.

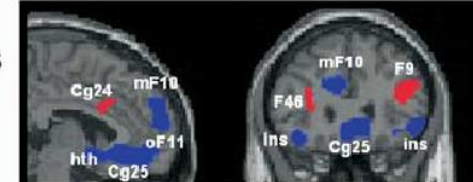
^cClinical nonresponders.

Mayberg HS et al. *Neuron*. 2005.

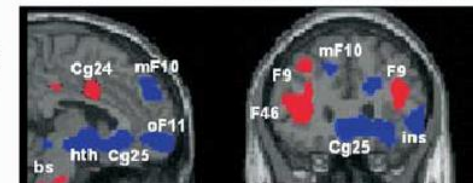
Baseline
CBF PET
All PT vs NC



3 months DBS
CBF Change
Responders



6 months DBS
CBF Change
Responders



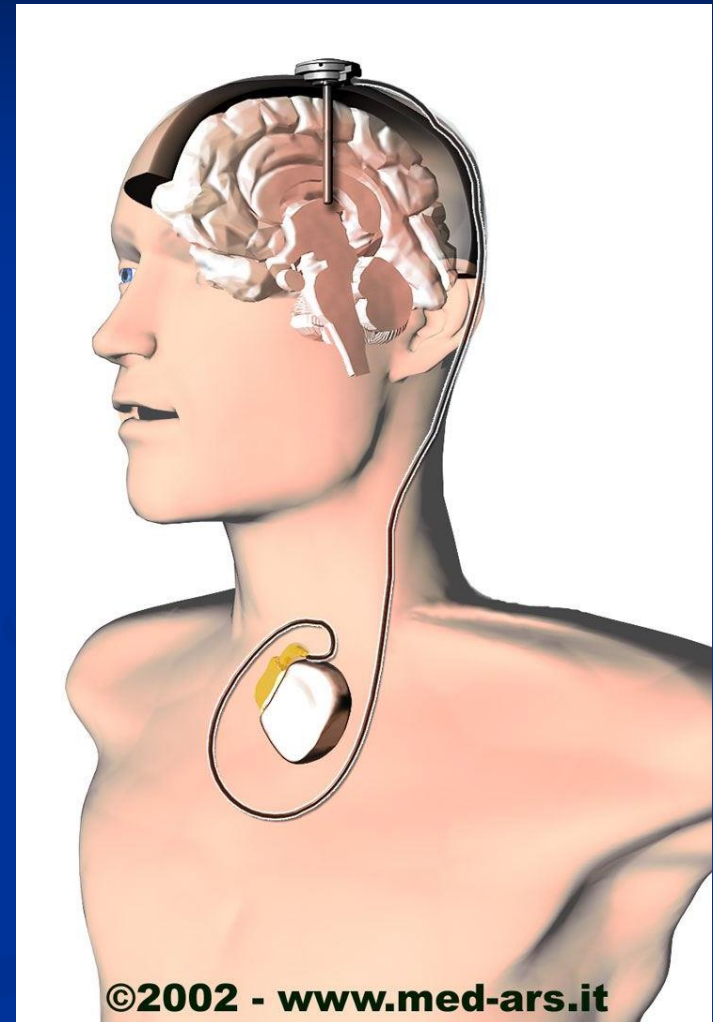
x = -4 y = +28

CBF
increases
decreases

Deep Brain Stimulation (DBS)

Limitations

- Limited, short-term, open-label data in psychiatry
- Considerable Surgical Risk
- Cosmesis
- Targets and stimulation parameters not established
- MRI contraindication
- Risk of hypomania
- Battery Life



Neuromodulation overview

- ECT non-invasive, hospital procedure, requires anesthesia, safe, very efficacious, but stigmatized, no clear neurology application
- TMS is non-invasive, office based, most flexible, possible multiple applications, very acceptable to patients, but is it robust enough?
- VNS bottom-up modulation, limited surgery, but efficacy less than hoped for, & access problems
- DBS most invasive, only preliminary data to date (n~50), but looks robust

21st century neuromodulation therapies in psychiatry

- ✓ Psychiatry treatment may be at similar threshold as cardiology 25 years ago, in terms of potential for devices to improve our therapeutics
- ✓ Effective medications & psychosocial interventions help many but by no means all of our patients
- ✓ Devices have potential to help our severely ill patients and clearly warrant intensive research going forwards

Post-Lecture Exam

Question 1

Magnetic Seizure Therapy (MST) differs from ECT in that:

- a. the goal is not to induce a therapeutic seizure
- b. the use of focused stimulation to produce a seizure
- c. general anesthesia is not required
- d. daily sessions of MST are needed to produce a therapeutic effect
- e. it has a more benign profile in terms of cognitive adverse effects

Question 2

The most common side effect reports with VNS is:

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- b. sexual dysfunction
- c. cognitive impairment
- d. hoarseness
- e. chest pain

Question 3

Deep brain stimulation is currently FDA approved for the treatment of:

- a. auditory hallucinations in schizophrenia
- b. chronic neuropathic pain
- c. obsessive compulsive disorder
- d. parkinson's Disease
- e. intractable migraine

Question 4

Transcranial Magnetic Stimulation (TMS) differs from Magnetic Resonance Imaging (MRI) technology in that:

- a. the magnetic fields produced are much weaker in intensity
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- c. MRI technology activates neurons whereas TMS does not
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Question 5

Which of the following statements about ECT is not true?

- a. ECT appears to be particularly efficacious in psychotic depression
- b. ECT is not effective in the treatment of mania
- c. ECT is effective in the treatment of bipolar depression
- d. ECT is associate with retrograde memory impairments
- e. ECT is effective in the treatment of pharmacotherapy-resistant major depression

Answers to Pre and Post-Lecture Exams

1. E
2. D
3. D
4. D
5. B